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# 1Effects of Grape Phylloxera Leaf Infestation on 2Grapevine Growth and Yield Parameters in 3Commercial Vineyards: A Pilot Study

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## 12Abstract

13Grape phylloxera (*Daktulosphaira vitifoliae*) can infest both roots and leaves of *Vitis* species. In  
14commercial vineyards planted with *Vitis vinifera* scions grafted on rootstocks, grape phylloxera  
15infestation is generally limited to root feeding. Vineyards are, however, increasingly subjected to  
16vineyard-wide foliar infestations that last throughout the growing season. While some vineyards are  
17affected by the infestation pressure of external leaf-feeding populations, other annually affected *V.*  
18*vinifera* vineyards do not have these in their vicinity. Much is known about the damage potential of  
19grape phylloxera root feeding; however, data on how phylloxera leaf infestation affects *V. vinifera*  
20grapevines in commercial vineyards are lacking. This study, therefore, aimed to assess whether  
21grapevine growth and yield are affected due to leaf infestation as it occurred in three commercial  
22vineyards in the study area. Treatments were based on phylloxera leaf infestation and additional  
23defoliation. Single-leaf carbon acquisition was measured with gas exchange analyses on healthy and  
24galled leaves. Pruning weight and internode length were measured to assess the effect of leaf  
25infestation and the effect of plant growth and vigour on leaf gall outbreaks. Yield quantity and  
26quality were measured, and grapes were vinified for sensory analyses. Furthermore, using  
27enzymatic analyses, non-structural carbohydrates were analysed in perennial wood. A significant

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28 decrease in sugar content in grapes (10 %) and starch reserves in perennial wood (11 %) was found  
29 in the most heavily infested vineyard. Grape must of infested plants in another vineyard furthermore  
30 showed a significantly higher level of titratable acid (7.5 %). Significant infestation effects seen in  
31 one vineyard were not significant in the other two vineyards. No significant differences were seen  
32 for carbon acquisition, harvest quantity, wine sensory analysis, pruning weight or internode length.  
33 The overall effect of phylloxera leaf infestation in the studied vineyards was, therefore, marginal.  
34 Grapevine vigour did not differ between infested vines, insecticide-sprayed vines, and vines on  
35 which no leaf infestation outbreaks took place. By analysing phylloxera leaf infestation under field  
36 conditions, these preliminary results form a basis for future long-term field studies about phylloxera  
37 leaf feeding on *Vitis vinifera* within the context of other biotic and abiotic plant stresses.

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39 Keywords : Viticulture, non-structural carbohydrates, gas exchange, *Vitis vinifera*, *Daktulosphaira*  
40 *vitifoliae*, galling insect, vigour

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## 42 Introduction

43 Grape phylloxera (*Daktulosphaira vitifoliae* FITCH) (from here on phylloxera) is a destructive pest  
44 for global viticulture. This plant sap-sucking and gall-forming insect is an obligate biotroph of  
45 *Vitis* L. spp, on which it can infest both roots and leaves. Notorious for its root feeding, phylloxera  
46 almost collapsed the French viticulture sector in the late 19th century, destroying a third of all  
47 vineyards (Gale, 2002). Most plant damage is caused when phylloxera infests mature roots and  
48 creates tuberosities. When these are formed, the root vascular system is laid bare, disrupting water  
49 and nutrient flows and enabling the entry of soil pathogens (Niklowitz, 1954; Powell *et al.*, 2013).  
50 Susceptible grapevines that cannot wall-off their vascular system show severe symptoms, losing  
51 vitality that can lead to vine death (Boubals, 1966; Granett *et al.*, 2001). Though nodosity feeding  
52 (root tip galling) does not pose this extent of host plant damage, it can amplify the extent of other  
53 biotic (Edwards *et al.*, 2007) and abiotic (Savi *et al.*, 2019; Savi *et al.*, 2021) plant stresses.  
54 Furthermore, through root necrosis, nodosity feeding can negatively affect plant vigour (Granett *et*  
55 *al.*, 2001). Phylloxera induced leaf galls, on the other hand, were documented to positively impact  
56 grapevine vigour (Kimberling *et al.*, 1990). Root and leaf galls are moreover known to impact the  
57 functioning of the grapevine, modifying primary and secondary host plant metabolism, to alter plant

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58 defences and promote carbon importation into the gall tissue (Eitle *et al.*, 2017b; Eitle *et al.*, 2019a;  
59 Eitle *et al.*, 2019b; Griesser *et al.*, 2015; Nabity *et al.*, 2013).

60 To preserve wine quality traits while benefiting from phylloxera infestation tolerance, the cultivated  
61 grapevine (*Vitis vinifera* L.) is grafted onto American rootstock hybrids that can cope with the pest  
62 by suppressing tuberosity formation (Boubals, 1966). The pest's ability to establish tuberosities on  
63 specific host plant varieties can, however, not be generalised. In the late 20th century, California's  
64 vineyards that were planted with the rootstock hybrid AxR#1 (60 to 70 % of Napa and Sonoma  
65 Counties) suffered a total collapse, generating region-wide yield losses and replanting costs of over  
66 a billion US-dollar (Gale, 2002). The reason for this sudden collapse resided at differences in  
67 hostplant-specific feeding traits among phylloxera populations (i.e., some phylloxera populations  
68 can create tuberosities on AxR#1, whereas others are not) (Granett *et al.*, 1985). Indeed, over the  
69 years, phylloxera populations have been categorised by their innate feeding performance on roots  
70 (King and Rilling, 1985) and leaves (Stevenson, 1970b), and a global concept of biotype categories  
71 has been introduced (Forneck *et al.*, 2016).

72 Normally, phylloxera rarely creates leaf galls on *V. vinifera* (Powell, 2008). Some phylloxera  
73 populations have, however, been documented to infest *V. vinifera* leaves, in Australia, France, Italy,  
74 Peru and the USA (Granett *et al.*, 2001), Uruguay (Vidart *et al.*, 2013), Austria (Könnecke *et al.*,  
75 2011) Germany (Kopf, 2000) and Hungary (Molnár *et al.*, 2009). A high external infestation  
76 pressure from leaf-feeding phylloxera on nearby susceptible *Vitis* species can explain the results of  
77 some of these studies. Infestation assays under controlled conditions, however, showed that some  
78 phylloxera populations from this study area have a significantly higher rate of infestation and faster  
79 development on *V. vinifera* leaves compared to less adapted phylloxera populations (Wilmink *et al.*,  
80 2021a). Instead of temporal and marginal foliar outbreaks, some commercial vineyards in Baden,  
81 Germany, were subjected to vineyard-wide leaf infestations perpetuated throughout the growing  
82 season. In some cases, the origin of leaf-feeding phylloxera could be identified as parthenogenic  
83 offspring of the vine's root-feeding phylloxera (Wilmink *et al.*, 2021b).

84 With the onset of vineyard-wide leaf infestation outbreaks, it is crucial to consider their impact on  
85 grapevine growth and yield. Unfortunately, the historic rarity of leaf infestations on *V. vinifera* grape  
86 varieties has made it a scarce subject of study. Field studies that were conducted with *V. vinifera*  
87 hybrids that are more vulnerable to leaf infestation show contradicting results. The eradication of  
88 leaf-feeding phylloxera on the hybrid "Seyve-Villard 18-315" increased the amount of yield and the  
89 grapes' soluble solids (Schvester, 1959). Conversely, a study with a similar experimental setup with

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90a natural infestation in commercial “Maréchal Foch”, “Seibel 5279” and “Seibel 7053” vineyards  
91observed no consistent differences between sprayed and unsprayed plots for yield quantity, quality  
92or pruning weight (Stevenson, 1970a). A study with artificial phylloxera infestations on “Seyve-  
93Villard 5276” hybrid vines at different early phenological stages found effects for leaf infestations  
94that were initiated two or three weeks before bloom (Mcleod, 1990). For these artificially  
95established infestations, cluster weight, number of berries per cluster and soluble solids were  
96lowered. In field trails with the hybrid “Frontenac”, cluster weight was significantly higher for  
97sprayed vines compared with vines where more than 50 % of the leaf area of leaves on young  
98shoots were covered with galls (Yin *et al.*, 2021). These authors did not find significant differences  
99between sprayed vines and vines with less than 30 % of the leaf area covered with galls. However,  
100to our knowledge, there is no field study about the impact of phylloxera leaf galls on the vegetative  
101and generative growth of the cultivated grapevine, *V. vinifera*, in commercial vineyards.

102Therefore, this study was conducted to investigate the effects of phylloxera leaf infestation  
103outbreaks in commercial vineyards. We aimed to identify whether vineyard-wide leaf gall  
104outbreaks, as they naturally occur in some commercial vineyards in Baden, Germany, can impact  
105different growth and yield parameters. We thereby conducted a fine-scale sampling in three pilot  
106vineyards with different viticulture, environmental and phylloxera infestation scenarios. For  
107phylloxera leaf-infested and control plants, gas exchange, yield quantity and quality, wine sensory  
108analyses, plant vigour, and the storage of non-structural carbohydrates in perennial wood were  
109analysed. We studied this wide array of parameters to provide the first insights for further in-depth  
110research in field settings. Furthermore, additional treatments were created to simulate growing  
111seasons with high plant stress, whereby grapevines were subjected to increased defoliation,  
112reducing photosynthetic source material. We hypothesised that phylloxera leaf infestation only  
113significantly affects grapevine growth and yield parameters in commercial vineyards when they are  
114subjected to severe additional stress (i.e., the defoliation treatment).

## 115Materials and methods

### 1161. Experimental Setup

117This study’s experiments were conducted in three commercial vineyards in Baden’s wine-growing  
118region in the southwestern part of Germany. Two vineyards were studied in 2018 and one in 2019.  
119These commercial vineyards all showed vineyard-covering phylloxera leaf infestations, albeit with  
120different intensities (Table 1). Besides the alterations made for the treatments, these vineyards were  
121under standard viticulture management by the winegrowers (cane pruning, grass and cover crops in

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122alternating rows, defoliation of most basal leaves, hedging, (biological) fungicides, no insecticides).  
123The experimental treatments were implemented from the start of July 2018 and from the end of July  
1242019. At this time, phylloxera larvae were in their second leaf-feeding generation and the  
125grapevines had developed pea-sized berries (BBCH 75).

126**Table 1. Overview of experiment plots and pre-treatment phylloxera infestation.**

		Pfaffenweiler 2018	Britzingen 2018	Bahlingen 2015
Vineyard	Topography	Hilltop	Slope	Terrace
	Management	Conventional	Conventional	Organic
	Soil texture	Loam	Silt loam	Silt
	Planting year	2008	2008	2015
Grapevine	Scion species	<i>V. vinifera</i>	<i>V. vinifera</i>	<i>V. vinifera</i>
	Scion variety	“Muscat a Petits Grains”	“Chasselas”	“Muscarini”
	Rootstock hybrid	<i>V. berlandieri</i> x <i>V. riparia</i>	<i>V. berlandieri</i> x <i>V. riparia</i>	<i>V. berlandieri</i> x <i>V. riparia</i>
	Rootstock variety	“Kober 5BB”	“Kober 5BB”	“SO4” “Selektion Oppenheim 44”
Phylloxera infestation <sup>2</sup>	Infested shoots (%)	1–25	26–50	51–75
	Galls per leaf	1–5	11–20	21–30

127<sup>1</sup> Although specified as *V. vinifera*, due to fungus resisting traits, about 10 % is of non-*V. vinifera*  
128ancestry (Maul *et al.*, 2021).

129<sup>2</sup> Gall count on the vine’s most infested leaf in mid-June (2018) or start of July (2019) during the  
130second foliar generation, according to the infestation categories of Mueller and Bogenrieder (2010).

131Before the start of the experiment (mid-June 2018 and start of July 2019), the second-generation  
132phylloxera leaf infestation was monitored for all grapevines in each of the vineyards. For every  
133vine, the infestation frequency (i.e., the percentage of infested shoots) and intensity (i.e., number of  
134galls on the most infested leaves) were counted (Table 1), according to Mueller and Bogenrieder  
135(2010). In detail, the number of galls on the vine’s most infested leaf was categorised as 0: 0 galls,  
1361:1–5 galls, 2: 6–10 galls, 3: 11–20 galls, 4: 21–30 galls, 5: 31–50 galls or 6: > 50 galls. The

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137percentage of infested shoots was categorised as 0: 0 %, 1: 1–25 %, 2: 26–50 %, 3: 51–75 %, 4: 76–  
138100 %. This was done for all 556 vines in the vineyard in Pfaffenweiler, 1670 vines in the vineyard  
139in Britzingen and 196 vines in the vineyard Bahlingen.

140This monitoring enabled visualisation of the local infestation intensities within each vineyard.  
141Based on this monitoring data, treatment blocks (total of 96 vines per vineyard) with the same  
142infestation intensity were chosen. In the two conventionally managed vineyards, half of the blocks  
143were sprayed twice with 50 mg l<sup>-1</sup> Imidacloprid (Confidor® WG 70) to eradicate leaf-feeding  
144phylloxera. The organically managed vineyard could, for legal reasons, not be sprayed with  
145Imidacloprid. The non-infested control blocks in the organically managed vineyard were, therefore,  
146chosen based on natural leaf infestation patterns (Table S1).

147To ensure no bias between control treatments, a plant growth comparison was made between  
148control vines based on insecticide spraying (conventionally managed vineyards) and naturally non-  
149infested grapevines (organically managed vineyards). To do this, insecticide-sprayed grapevines in  
150the two conventional vineyards were compared with naturally non-infested grapevines within the  
151same vineyard.

152Each of the infested and non-infested treatments was divided into a normal and a defoliation  
153treatment. In the defoliated treatments, 50 % of the leaves were removed by picking every other leaf  
154(on the main and lateral shoots). The leaf removal treatments were implemented to create extra  
155stress on the vines by simulating a lower source-sink ratio. This defoliation intensity was chosen  
156because grapevines normally use up to 50 % of their photosynthetic capacity preveraison (Howell,  
1572001). The defoliation treatment would therefore help to elucidate any additional phylloxera-  
158induced plant stress. Based on these two parameters (leaf infestation and partial leaf removal), four  
159different treatments were created. Each treatment consisted of four blocks of six vines, resulting in  
16016 blocks and 96 vines per vineyard.

## 1612. Yield and Growth Parameters

162In the most infested vineyard (in Bahlingen), carbon acquisition was measured with gas exchange  
163measurements (Walz® GFS-3000) to identify whether there are any differences in carbon  
164acquisition at this level of infestation. The youngest fully developed leaf of four different vines was  
165measured per treatment, conducted between 10 am and 2 pm. For the vines from treatments infested  
166with foliar phylloxera, measurements were taken from an infested leaf (including gall area) and a  
167non-infested leaf from a non-infested shoot (the vines that were measured are numbered in Table S2  
168and correspond to the vineyard overview in Table S1). The measurement settings were set at 25 °C

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169cuvette temperature, 60 % relative air humidity, 400 ppm CO<sub>2</sub> and 1200 μmole m<sup>-2</sup>s<sup>-1</sup> PAR in an  
1708 cm<sup>2</sup> leaf chamber.

171Grape clusters of the treatment blocks were harvested in the same week as the grape grower  
172harvested the rest of the vineyard. At this stage, the grape bunches of the treatment blocks were  
173counted, harvested and weighed. The grapes harvested in Britzingen and Pfaffenweiler were  
174transported in small boxes for vinification. For grapes harvested in Bahlingen, only grape quality  
175samples were taken. The vineyards in Britzingen and Pfaffenweiler were harvested in the third week  
176of October in 2018 and the vineyard in Bahlingen in the second week of September 2019.

177Vegetative growth was measured using two parameters: internode length and pruning weight  
178(González-Fernández *et al.*, 2012). Both parameters were obtained in December (dormancy).  
179Internode length was calculated by measuring the internode length of five internodes, starting at the  
180fourth node of the main shoot. These measurements were performed on three shoots per vine for all  
181336 vines of this study. Pruning fresh weight was measured directly in the vineyard. Pruned dry  
182weight was calculated by drying a single shoot per vine for 72 hours at 60 °C and calculating the  
183fresh weight to dry weight ratio. Crop load was estimated according to the Ravaz index (i.e., the  
184ratio of fruit yield to dormant pruning weight per vine) (Ravaz, 1911).

### 1853. Sample Processing

186An FTIR analysis (Foss, Grapescan™) was conducted to calculate must density, glucose, fructose,  
187titratable acid, volatile acidity, pH, tartaric acid and malic acid of grape must (Bauer *et al.*, 2008).  
188This analysis was conducted for a sample that consisted of 100 randomly picked berries per  
189treatment block of six vines (picked during harvest time, to resemble harvest conditions). For the  
190vinification, the grapes of the four repetition blocks of each treatment were put together. After  
191destemming and maceration, the must was pressed in small pneumatic presses and fermented in 25-  
192litre glass carboys. The wine was filtered with a two-step candle filter (1 and 0.65 μm cellulose) and  
193bottled with 90 ppm SO<sub>2</sub>. The wines were then tested by a panel of 23 experts with the CATA  
194(check all that apply) method (Valentin *et al.*, 2012), using the white wine aroma wheel of Noble *et*  
195*al.* (1987).

196After pruning in December, the pruned two-year-old wood of the lateral cane of twelve plants per  
197treatment and vineyard was enzymatically tested for the concentration of stored sugar and starch  
198reserves. Directly after pruning, the 144 samples were transported in cooled boxes, microwaved for  
19990 s at 600 W to stop enzymatic activity and subsequently oven-dried for 72 h at 70 °C, according  
200to Landhäuser *et al.* (2018). The samples were stored with silica beads in 50 ml Falcon® tubes

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201until the start of the enzymatic analysis. This was done according to the protocols and materials of  
202the Megazyme enzyme kits “K-TSHK 01/20” for starch and “K-SUFRG 04/18” for total sugar.

203The samples were cryo-homogenised with liquid nitrogen and a ball mill and subsequently sieved  
204through a 0.5 mm meshed sieve. According to Landhäusser *et al.* (2018), the samples were weighed  
205and subsequently heated for 10 min at 90 °C in 80 % ethanol in screw-capped reaction tubes. After  
2061 min 13k rpm centrifuging, soluble sugars were extracted from the supernatant. Following the  
207Megazyme “K-SUFRG 04/18” protocol, these sugars were converted by adding invertase  
208(converting sucrose to glucose and fructose), hexokinase (phosphorylating glucose and fructose into  
209G6P and F6P) and phosphoglucose isomerase (interconverting G6P and F6P). The total amount of  
210converted sugar was quantified with the 340 nm light absorbance of NADPH before and after  
211adding 6-phosphogluconate dehydrogenase, using the Specord® 50 photometer from Analytik Jena  
212(Jena, Germany).

213After the extraction of soluble sugars, the extraction with ethanol was repeated two more times,  
214according to Landhäusser *et al.* (2018), disposing of the supernatant. Following the Megazyme “K-  
215TSHK 01/20” protocol, the remaining starch was extracted from the dried pellet by subsequently  
216incubating with  $\alpha$ -amylase (6 min, 90 °C) and amyloglucosidase (30 min, 50 °C). The starch that  
217was converted into glucose (anhydro-glucose) was then quantified in the same way as the total  
218sugars (adding hexokinase and 6-phosphogluconate dehydrogenase and measuring NADPH light  
219absorbance at 340 nm).

#### 2204. Statistical Analyses

221Statistical analyses were carried out with IBM SPSS 26<sup>®</sup>, with  $\alpha = 0.05$ . Throughout this paper,  
222statistical significance is indicated as “\*” for  $P < 0.05$  and “n.s.” for  $P \geq 0.05$ . Comparisons between  
223two groups were tested with Welch’s unequal variances t-test, comparisons between three groups  
224with ANOVA, followed with Tukey’s post-hoc test. A non-linear correlation analysis was conducted  
225with Spearman’s rank correlation coefficient with  $\alpha = 0.05$ . The CATA sensory analysis was carried  
226out with XLSTAT 2018.1. The statistical analysis of CATA output was performed according to  
227Varela and Ares (2012). Briefly, first, a Cochran’s Q-test was carried out to analyse whether  
228individual sensory attributes were significantly distinct between treatments. A contingency table  
229was then created for all significant attributes, followed by a chi-square test of independence  
230between treatments and attributes with  $\alpha = 0.05$ . When comparing the treatments shown in Tables 2,  
2313 and 4, all infested treatments were compared with all non-infested treatments, and all non-

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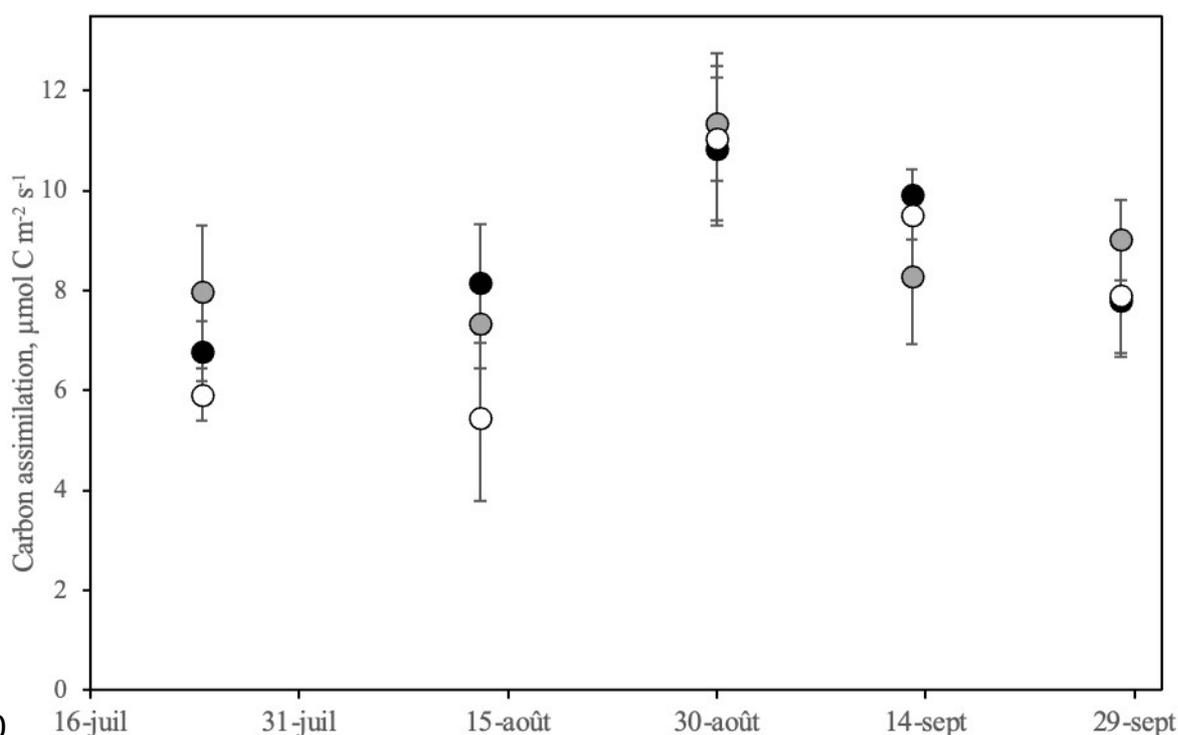
232defoliated treatments were compared with all defoliated treatments. Additionally, the interaction  
233effect between both treatments was calculated.

## 234Results

235The insecticide application in the two conventionally managed vineyards successfully eradicated all  
236leaf-feeding phylloxera for the rest of the growing season. In the organically managed vineyard, the  
237treatment blocks that should have been without phylloxera leaf infestation did not develop any leaf  
238galls throughout the rest of the growing season. Lastly, all treatment blocks that underwent leaf gall  
239infestations housed active phylloxera populations for the rest of the growing season.

### 2401. Carbon Assimilation

241The gas exchange analyses in the most-infested vineyard did not reveal a significant reduction in  
242net carbon acquisition of measured galled leaves compared to non-galled leaves (defoliation  
243treatments are nested within infestation data) (Figure 1). However, a non-significant trend of a  
244lower carbon assimilation of infested leaves was visible compared with measured leaves of non-  
245infested plants (Table 2). Furthermore, a Spearman correlation test did not show any significant  
246correlation between the number of galls on an infested leaf and its reduced carbon assimilation  
247( $n = 114$ ,  $r_s = -0.14$ ,  $P = 0.224$ ). Though some effects were visible on leaf level, it is unclear whether  
248a whole-plant compensation effect took place for the tested grapevines. Raw data from the gas  
249exchange analyses are provided in Table S2.



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251 **Figure 1. Gas exchange analysis of grapevine plants in vineyards in Bahlingen, based on**  
252 **natural infestation patterns. Black dots represent non-galled leaves on infested plants, grey**  
253 **dots non-infested plants and white dots galled leaves; n = 6 to 8 (defoliation treatments are**  
254 **nested within infestation treatments).**

255 **Table 2. Carbon assimilation results from the gas exchange measurements of grapevine plants**  
256 **in vineyards in Bahlingen (n = 19); A = CO<sub>2</sub> assimilation rate.**

	Infestation		Defoliation		Interaction
	Phylloxera	Control	50%	Control	Inf. x defol.
A (μmol m <sup>-2</sup> s <sup>-1</sup> )	7.7 ± 0.5	8.9 ± 0.5	8.6 ± 0.4	8.1 ± 0.6	n.s.

## 2572. Must and Wine

258 During harvest, grapes were handpicked into small plastic containers and were weighed per  
259 treatment block. The total harvest weight of the 96 vines was 212, 560 and 183 kg for the vineyards  
260 in Pfaffenweiler, Britzingen and Bahlingen, respectively (Table 4). In the vineyard in Bahlingen,  
261 phylloxera infestation caused a significant reduction of must soluble solids, glucose and fructose  
262 (Table 3). These same parameters showed significant interaction effects between infestation and  
263 defoliation in the vineyard in Britzingen. Against expectation, this interaction effect was that the  
264 sugar content for the defoliated treatments was higher for the infestation treatments and lower for  
265 the non-defoliated ones. This same interaction effect was also visible for tartaric acid in the grape  
266 must of the vineyard in Pfaffenweiler. Tartaric acid levels were furthermore significantly lower for  
267 the grape must of the defoliated treatments in the vineyard in Britzingen.

268 **Table 3. FTIR must analysis of treatment blocks (n = 8) in the vineyards in Pfaffenweiler,**  
269 **Britzingen and Bahlingen. All interactions: for 50 %, P > C; for control, C > P. Significantly**  
270 **different numbers are written in boldface.**

		Infestation		Defoliation		Interaction
		Phylloxera	Control <sup>1</sup>	50 %	Control	Inf x defol
Pfaffenweiler	Sol. Solids, °Bx	25.2 ± 0.28	25.4 ± 0.26	24.9 ± 0.21	25.7 ± 0.26	n.s.
	Glucose, gl <sup>-1</sup>	127.7 ± 1.84	128.5 ± 1.57	125.8 ± 1.27	130.3 ± 1.69	n.s.
	Fructose, gl <sup>-1</sup>	133.5 ± 1.38	134.2 ± 1.48	131.9 ± 1.11	135.8 ± 1.34	n.s.
	pH	3.3 ± 0.01	3.3 ± 0.01	3.3 ± 0.01	3.3 ± 0.01	n.s.
	Tartaric acid, gl <sup>-1</sup>	4.8 ± 0.09	4.6 ± 0.05	4.7 ± 0.11	4.6 ± 0.04	*

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Britzingen	Malic acid, gl <sup>-1</sup>	1.6 ± 0.05	1.5 ± 0.07	1.5 ± 0.05	1.6 ± 0.07	n.s.
	Sol. Solids, °Bx	16.4 ± 0.33	16.6 ± 0.26	16.5 ± 0.21	16.5 ± 0.37	*
	Glucose, gl <sup>-1</sup>	79.6 ± 1.70	80.5 ± 1.29	80.2 ± 1.19	79.9 ± 1.78	*
	Fructose, gl <sup>-1</sup>	81.8 ± 1.97	83.2 ± 1.62	82.4 ± 1.36	82.6 ± 2.19	*
	pH	3.1 ± 0.01	3.2 ± 0.01	3.2 ± 0.01	3.1 ± 0.01	n.s.
	Tartaric acid, gl <sup>-1</sup>	5.5 ± 0.10	5.6 ± 0.10	<b>5.3 ± 0.05</b>	<b>* 5.8 ± 0.09</b>	n.s.
Bahlingen	Malic acid, gl <sup>-1</sup>	1.3 ± 0.11	1.2 ± 0.15	1.3 ± 0.15	1.2 ± 0.12	n.s.
	Sol. Solids, °Bx	<b>22.4 ± 0.33 *</b>	<b>24.6 ± 0.54</b>	23.6 ± 0.56	23.4 ± 0.64	n.s.
	Glucose, gl <sup>-1</sup>	<b>103.5 ± 1.74 *</b>	<b>115.6 ± 3.09</b>	110.4 ± 3.10	108.7 ± 3.64	n.s.
	Fructose, gl <sup>-1</sup>	<b>112.8 ± 1.87 *</b>	<b>125.2 ± 3.07</b>	119.5 ± 3.20	118.5 ± 3.70	n.s.
	pH	3.2 ± 0.02		3.2 ± 0.01	3.2 ± 0.02	n.s.
	Tartaric acid, gl <sup>-1</sup>	7.7 ± 0.06		7.6 ± 0.03	7.6 ± 0.12	n.s.
	Malic acid, gl <sup>-1</sup>	4.7 ± 0.18		4.4 ± 0.15	4.5 ± 0.33	n.s.

271<sup>1</sup> Instead of insecticide spraying, the control in the organic vineyard in Bahlingen was based on  
272naturally non-infested grapevine foliage.

273For the total sugar content and total titratable acids (expressed as gl<sup>-1</sup> tartaric acid), we saw similar  
274patterns as before (Figure 2). In all three vineyards, the average total sugar content appeared to be  
275lower in must from infested vines; however, this reduction was only significant for the vineyard in  
276Bahlingen. Here, the sugar content in must was 10 % lower for infested grapevines. The titratable  
277acid showed an opposite and therefore phenologically similar pattern. This increase was statistically  
278significant for the vineyard in Pfaffenweiler, where the grape must of infested vines had 7.5 % more  
279titratable acid.

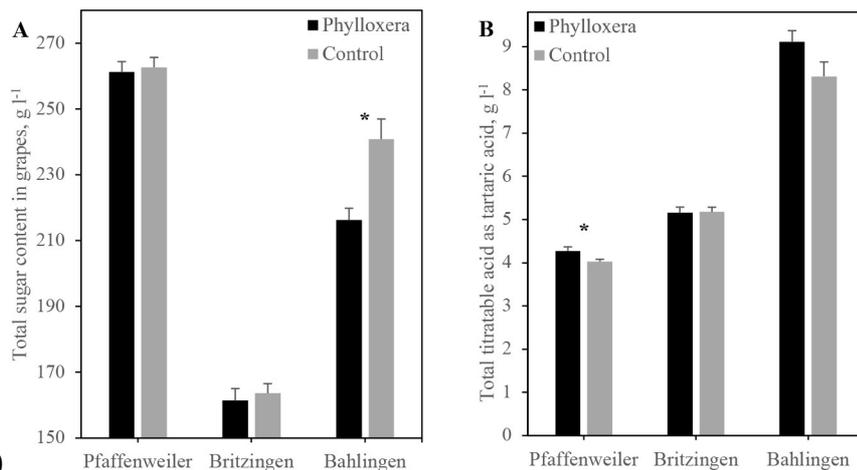
280After harvest, the grapes of the vineyards in Pfaffenweiler and Britzingen were vinified and  
281underwent testing from a sensory panel. The panel found no significant difference between the  
282wines of the different treatments in the vineyard in Pfaffenweiler ( $\chi^2 = 16.8$  df = 18, P = 0.537).  
283There was a significant difference between the wines from the vineyard in Britzingen ( $\chi^2 = 33.3$   
284df = 15, P = 0.004). However, the significantly different attributes were the result of vinification  
285(ethyl acetate and hydrogen sulphide) and were not directly related to grape quality. The volatile  
286acidity was 0.25 gl<sup>-1</sup> must in the vineyard in Bahlingen, 0.11 gl<sup>-1</sup> must in the vineyard in Britzingen  
287and 0.30 gl<sup>-1</sup> must in the vineyard in Pfaffenweiler, whereby no significant differences were found  
288between treatments.

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290 **Figure 2. FTIR analyses per treatment block of harvested grapes in the vineyards in**  
 291 **Pfaffenweiler, Britzingen and Bahlingen, comparing infested and non-infested grapes**  
 292 **(defoliation treatments are nested within infestation treatments). A, total sugar content; B,**  
 293 **total titratable acid, depicted as tartaric acid; n = 8.**

### 2943. Yield, Vigour and Carbon Reserves

295 After the growing season, plant vigour analyses were performed per grapevine (Table 4). There  
 296 were no significant differences for both the phylloxera and defoliation treatments in all three  
 297 vineyards. There was a significant interaction effect for the parameter yield per vine in the vineyard  
 298 in Britzingen. This parameter was lower for defoliated infested vines than non-defoliated vines,  
 299 which may be expected.

300 An additional treatment was included in the vineyards in Pfaffenweiler and Britzingen, where leaf  
 301 phylloxera was eradicated with imidacloprid. Vines from this treatment did not develop any foliar  
 302 phylloxera during the growing season (like the phylloxera control treatment in the vineyard in  
 303 Bahlingen). A vigour comparison between this additional treatment and the non-defoliated  
 304 insecticide sprayed treatment revealed non-significant differences in average internode length and  
 305 pruning weight. Therefore, there was no visible bias in plant growth between the insecticide sprayed  
 306 control vines and control vines based on naturally absent leaf infestation.

307 **Table 4. Grapevine yield and vigour parameters in the vineyards in Pfaffenweiler, Britzingen**  
 308 **and Bahlingen (n = 48). FW = Fresh weight. Interaction: for 50 %, C > P; for control, P > C.**

		Infestation		Defoliation		Interaction
		Phylloxera	Control <sup>2</sup>	50 %	Control	Inf x defol
Pfaffenweiler	Pruning FW, kg	0.6 ± 0.03	0.6 ± 0.04	0.5 ± 0.03	0.6 ± 0.04	n.s.

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	Intern length, cm	6.8 ± 0.20	7.3 ± 0.22	7.1 ± 0.21	7.0 ± 0.22	n.s.
	Yield, kg/vine <sup>1</sup>	2.5 ± 0.14	2.2 ± 0.12	2.3 ± 0.10	2.3 ± 0.17	n.s.
	Bunch weight, kg <sup>1</sup>	0.2 ± 0.03	0.2 ± 0.04	0.2 ± 0.03	0.2 ± 0.04	n.s.
	Ravaz index <sup>1</sup>	5.1 ± 0.33	5.1 ± 0.54	5.1 ± 0.37	5.1 ± 0.52	n.s.
	Pruning FW, kg	0.6 ± 0.02	0.5 ± 0.02	0.6 ± 0.02	0.5 ± 0.02	n.s.
Britzingen	Intern length, cm	9.0 ± 0.17	8.8 ± 0.15	9.0 ± 0.15	8.7 ± 0.17	n.s.
	Yield, kg/vine <sup>1</sup>	5.8 ± 0.24	6.2 ± 0.39	6.4 ± 0.35	5.5 ± 0.19	*
	Bunch weight, kg <sup>1</sup>	0.3 ± 0.04	0.3 ± 0.04	0.3 ± 0.05	0.3 ± 0.02	n.s.
	Ravaz index <sup>1</sup>	11.0 ± 0.46	12.7 ± 0.79	12.6 ± 0.77	11.2 ± 0.50	n.s.
Bahlingen	Pruning FW, kg	0.2 ± 0.01	0.2 ± 0.01	0.2 ± 0.01	0.2 ± 0.01	n.s.
	Intern length, cm	5.6 ± 0.10	5.9 ± 0.12	5.8 ± 0.12	5.7 ± 0.11	n.s.
	Yield, kg/vine <sup>1</sup>	2.0 ± 0.18	1.9 ± 0.26	2.0 ± 0.24	1.8 ± 0.21	n.s.
	Bunch weight, kg <sup>1</sup>	0.1 ± 0.01	0.1 ± 0.01	0.1 ± 0.01	0.1 ± 0.01	n.s.
	Ravaz index <sup>1</sup>	10.0 ± 0.71	9.0 ± 0.66	9.3 ± 0.64	9.7 ± 0.74	n.s.

309<sup>1</sup> During harvest, the grape bunches were pooled per block; therefore, n = 8.

310<sup>2</sup> Instead of insecticide spraying, the control in the organic vineyard in Bahlingen was based on  
311 naturally non-infested grapevine foliage.

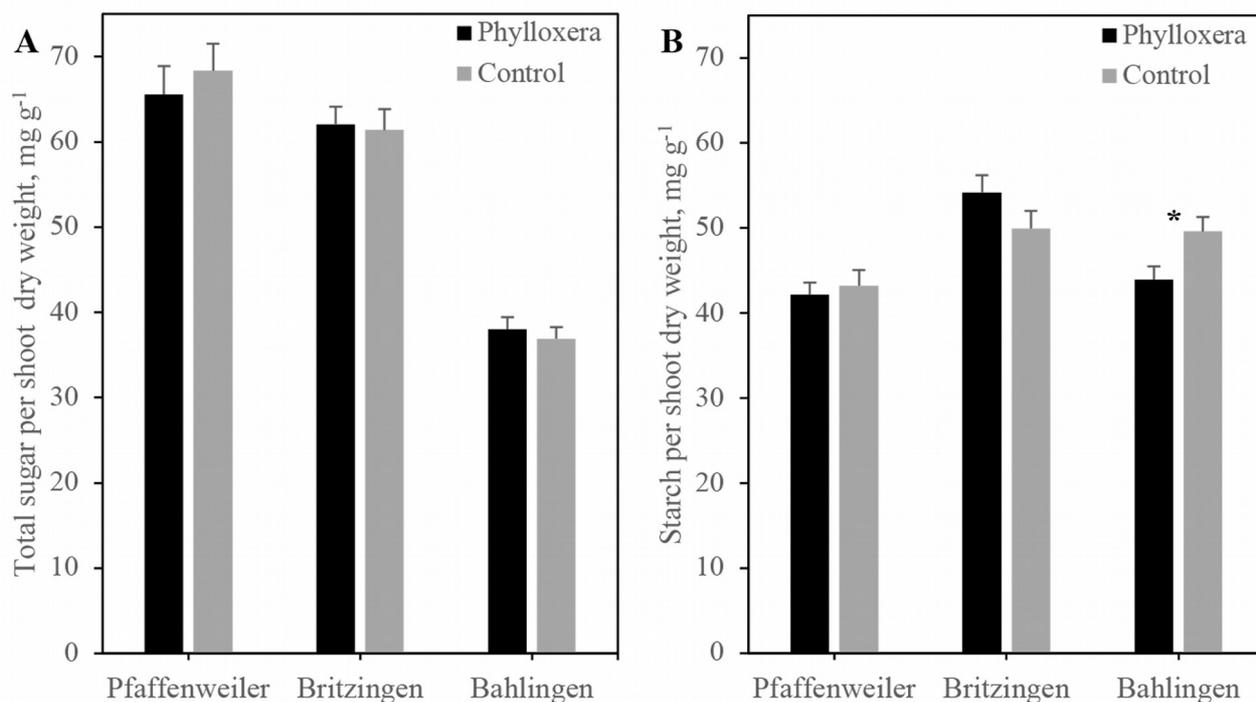
312 Finally, after leaf senescence at the end of the growing season, the content of non-structural  
313 carbohydrates was measured in two-year-old pruning wood. These enzymatic analyses showed no  
314 significant differences in total sugars due to infestation. However, the content of starch significantly  
315 decreased by 11 % for infested vines in the vineyard in Bahlingen (Figure 3). Calculating the non-  
316 structural carbohydrates per vine by multiplying with pruning dry weight, no differences were  
317 found between total sugars in all vineyards (Pfaffenweiler: t = -0.72, P = 0.477 Britzingen: t = 1.14,  
318 P = 0.262, Bahlingen: t = -0.77, P = 0.442) or starch (Pfaffenweiler: t = -0.54, P = 0.592  
319 Britzingen: t = 1.79, P = 0.081, Bahlingen: t = -1.78, P = 0.081).

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321 **Figure 3. Enzymatic analyses of non-structural carbohydrates in the two-year-old pruning**  
 322 **wood of the vine's lateral cane of grapevines with and without phylloxera leaf infestation in**  
 323 **the vineyards in Pfaffenweiler, Britzingen and Bahlingen. A, total sugar content per shoot dry**  
 324 **weight; B, starch content per shoot dry weight; n = 24 (defoliation treatments are nested**  
 325 **within infestation treatments).**

### 326 Discussion

327 The presence of perpetual vineyard-wide leaf gall outbreaks in commercial vineyards in Baden,  
 328 Germany, revealed the knowledge gap on quantifying phylloxera leaf infestation effects on *V.*  
 329 *vinifera* growth and yield under field conditions. In a first step to fill this gap, this study  
 330 investigated the consequences of phylloxera leaf infestation under standard viticulture management,  
 331 comparing 336 individual grapevines in three pilot vineyards during a single growing season. The  
 332 three commercial vineyards acted as pilot plots with different local settings each. Although all three  
 333 vineyards were submitted to vineyard-wide phylloxera infestations, these differed in leaf gall  
 334 intensity per plant and leaf. The leaf gall intensity was highest in the tested vineyards in Bahlingen,  
 335 with an average of 20 to 30 galls on the highest infested mature leaf, and the lowest in  
 336 Pfaffenweiler, with up to 10 galls per leaf, counted at the start of the experiments. Due to the  
 337 differences in vineyard settings between the pilot plots, direct comparisons should be made with  
 338 caution. The insecticide spraying in the vineyards in Pfaffenweiler and Britzingen were highly

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339effective in removing leaf-feeding phylloxera. Imidacloprid is a systemic insecticide with both  
340upward and downward plant mobility that was shown to suppress both leaf- and root-feeding  
341phylloxera (Benheim *et al.*, 2012). Although the population size of root-feeding phylloxera was  
342unknown, *V. berlandieri* × *V. riparia* rootstock hybrids are known to successfully tolerate  
343phylloxera root feeding (Eitle *et al.*, 2017a). For most of the measured vegetative and generative  
344growth parameters, no difference could be found due to phylloxera leaf infestation. However, our  
345study suggests that yield quality and non-structural carbon reserves could, in single cases, be  
346affected in commercial vineyards.

347To measure the impact of leaf infestation on the carbon acquisition of individual leaves, a gas  
348exchange analysis was conducted in the pilot vineyard in Bahlingen. The measurements were made  
349from the start of the leaf infestation outbreaks, measuring galled and non-galled leaves of infested  
350vines and on non-galled control vines. Though a pattern was visible, these analyses did not show a  
351significant reduction of carbon assimilation for galled leaves and no significant overcompensation  
352for the measured non-infested leaves on infested plants. Conversely, in a study with gas exchange  
353measurements on field-grown *Vitis* hybrid “Frontenac” vines, the net carbon assimilation per leaf  
354area was reduced, which the authors partly appointed to the reduced photosynthetically active area  
355(Nabity *et al.*, 2013). In a whole-plant hydroponic experiment with *V. rupestris* vines that were  
356infested with 250 to 300 galls per plantlet of eight leaves, a higher retention of <sup>14</sup>C-labeled carbon  
357was visible in galled leaves (Steffan and Rilling, 1981). Without a net difference in carbon  
358assimilation per plant, the authors concluded that the plantlet non-galled leaves overcompensated  
359this local effect with a higher photosynthetic rate in healthy leaf tissue. In gas exchange  
360measurements with artificial inoculations on one-year-old greenhouse and three-year-old field-  
361grown vines in July and August on “Seyval” hybrid grapes, the carbon assimilation was reduced on  
362infested leaves, without a visible compensation effect on non-infested leaves (McLeod, 1990).  
363Interestingly, non-infested “Seyval” leaves that neighboured infested leaves (with up to 200 galls)  
364also showed a temporal lower assimilation rate, which adjusted back to normal in the fourth week  
365of infestation. In this artificial inoculation study, a logarithmic reduction of net photosynthesis  
366correlated with an increased number of galls per leaf. In our study, with a natural leaf infestation of  
367fewer than 20 galls per measured leaf, we did not find such a correlation.

368In a study with a galling aphid (*Melaphis rhois* FITCH) and a galling mite (*Eriophyes cerasicrumena*  
369WALSH), no photosynthetic compensation effect was seen for neighbouring non-galled leaves  
370(Larson, 1998). For the mite-infested plants, the author saw a photosynthetic reduction of  
371neighbouring leaves, which she explains by either a competition effect between newly developing

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372leaves and gall sinks or a biochemical disruption of the photosynthetic machinery. This process may  
373also take place during phylloxera infestation. From phylloxera root galls, it is known that every gall  
374creates high levels of starch that is consumed on demand by the larvae (Griesser *et al.*, 2015). A  
375delayed carbon acquisition into the feeding larvae after incorporation into the leaf gall material  
376suggests that these processes also occur during leaf feeding (Nabity *et al.*, 2013). Besides the gall  
377tissue itself, the pecan phylloxera (*Phylloxera notabilis* PERGANDE) lowers the photosynthetic  
378capacity on healthy tissue that surrounds the leaf gall (Andersen and Mizell, 1987). Such lowering  
379of adjacent leaf area also appears for grape phylloxera, although these changes were not significant  
380(Nabity *et al.*, 2013). These authors, however, did link increased phylloxera sink strength due to  
381locally up-regulated defence mechanisms (jasmonic acid synthesis and vacuolar invertase activity).  
382This verifies that the sink competition is not only based on dietary requirements of phylloxera but  
383is, among others, also defence induced.

384There was no significant difference for all tested plant vigour and yield quantity parameters due to  
385infestation or defoliation alone. It is known that grapevine photosynthesis is not source-limited pre-  
386veraison, at which time, merely up to 50 % of the photosynthetic capacity is used (Howell, 2001).  
387Single-leaf photosynthesis measurements furthermore do not correlate with whole-plant  
388photosynthesis. This, unfortunately, means that the gas-exchange measurements on the effect of leaf  
389galls cannot be extrapolated for the whole vine. A greenhouse study with potted plants also found  
390no differences in single-leaf photosynthetic assimilation between phylloxera leaf infested, leaf and  
391root-infested, and control plants (Savi *et al.*, 2021). Nevertheless, the leaf infested potted plants in  
392this study showed a significantly reduced total biomass, visualising that single-leaf assimilation  
393measurements and whole-plant carbon assimilation do not always relate to phylloxera-infested  
394plants. Moreover, besides increased stomatal and mesophyll conductance, grapevines compensate a  
395low source-sink ratio by delaying the leaf senescence of main leaves, which would not be visible  
396with gas exchange measurements of young mature leaves (Candolfi-Vasconcelos and Koblet, 1991).  
397The combined non-significant differences of internode length and pruning weight parameters  
398suggest that infested grapevines did not compensate with a higher leaf area.

399When defoliation and infestation effects were combined, there was an interaction effect on the yield  
400per vine in the vineyard in Britzingen. Here, the yield was lower on defoliated vines compared with  
401non-defoliated vines. The severity of the effect that phylloxera infestation has on yield may depend  
402on the relation between the plant's source and sink material. However, even though the vineyards in  
403Britzingen and Pfaffenweiler had a much higher crop load (i.e., higher Ravaz index) than the one in  
404Pfaffenweiler, this was not visible from the yield quantity and quality data. For the hybrid vine

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405“Seyve-Villard 18-315”, yield increased by 15 to 20 % after phylloxera eradication (Schvester,  
4061959). In a pesticide spraying experiment on “Maréchal Foch” and two “Seibel” hybrids that were  
407infested with 15 to 20 galls per leaf on the first five apical leaves, no consistent differences were  
408found for pruning weight, yield and sugar content (Stevenson, 1970a). In an experiment with  
409artificial infestations, economic damage on the hybrid vine “Seyve-Villard 5276” started above 150  
410galls on the first five apical leaves per shoot at the time of bloom (Mcleod, 1990). The vineyards in  
411our study just started developing leaf galls at bloom, developing in numbers only after this crucial  
412time frame.

413Furthermore, the viticulture practice of hedging was observed to diminish phylloxera leaf  
414populations effectively. Due to the pruning of main and lateral shoots, plant growth was temporarily  
415stopped and meristematic leaves were removed. As phylloxera can only create galls on growing  
416meristematic leaves, populations locally collapsed and larval numbers dwindled. The non-pruned  
417shoots that grew horizontally within the canopy especially suffered from the highest intensities of  
418galls. Other studies found that without hedging, phylloxera populations were the biggest on long  
419shoots (Kimberling *et al.*, 1990). The effect of phylloxera infestation on shoot elongation is  
420recognised by Kimberling *et al.* (1990) but remains inconclusive in the study of Mcleod (1990). In  
421our study, no significant differences were found in pruning weight or internode length between  
422naturally non-infested vines, insecticide sprayed vines or infested vines. Phylloxera larvae were  
423therefore not more likely to infest leaves of vigorous grapevines, and vines were not more vigorous  
424due to leaf infestation. Although these numbers represent field conditions under standard vineyard  
425management, hedging prevented the measurement of actual differences in shoot length.

426The vineyard in Bahlingen suffered the highest intensity of leaf galls and showed the most  
427significant differences in yield quality. The vineyard was organically managed, a trait that  
428suppresses phylloxera root populations (Huber *et al.*, 2003). However, the grafted vineyard was  
429planted with scions of the fungus-resisting variety “Muscaris”, a scion that was found to be more  
430easily infested by root-feeding phylloxera that migrates from roots to leaves compared with *V.*  
431*vinifera* scions (Wilmink *et al.*, 2021b). Throughout the experiment, the vineyard in Bahlingen  
432received more precipitation and, through cover crops, presumably had a higher local relative  
433humidity than the other two vineyards that were studied in the dry growing season of 2018. The  
434lower damage threshold to phylloxera leaf-feeding that was found in dry years on “Seyval” hybrid  
435grapes (Mcleod, 1990) was, therefore, not visible for our experiments.

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436 In the vineyard in Bahlingen, soluble solids, glucose, fructose and total sugar content were  
437 significantly reduced in grape must due to phylloxera infestation. This sink competition with grapes  
438 is remarkable because grapes are known to be very strong sinks that can draw photo-assimilates  
439 from compensating leaves on other shoots (Mansfield and Howell, 1981). A study with CFDA-  
440 marked sucrose confirmed this high sink strength of phylloxera leaf and root galls, being the single  
441 destination of the petiole-inserted sucrose (Wieczorek *et al.*, 2014). The lack of compensation  
442 effects, which are normally seen in defoliation studies, indicates that the effects of phylloxera  
443 infestation cannot be simplified as a source-sink issue but should be answered on a biochemical  
444 level. Indeed, huge differences in secondary metabolites and host plant reprogramming were seen  
445 during phylloxera root- and leaf-feeding (Eitle *et al.*, 2017b; Eitle *et al.*, 2019a; Nabity *et al.*, 2013).

446 The 10 % soluble solid reduction in grape must in the vineyard in Bahlingen was lower than for  
447 hybrid “Seyve-Villard 18-315” vines, where eradication of foliar phylloxera increased soluble solids  
448 by up to 20 % (Schvester, 1959). For the hybrid vine “Seyve-Villard 5276”, a soluble solid  
449 reduction of about 10 % was found for artificial pre-bloom phylloxera infestation and no differences  
450 for post-bloom infestations (Mcleod, 1990). Contrary to these studies, we also found a significant  
451 increase of titratable acid in grape must (7.5 %) due to phylloxera infestation in the vineyard in  
452 Pfaffenweiler. Through defoliation, we also saw a significant decrease in tartaric acid in grape must  
453 in the vineyard in Britzingen, a tendency that was not seen in the other two vineyards. Overall, the  
454 combination of a decreased sugar content and increased acidity indicates that phylloxera leaf  
455 infestation can delay the ripening of grapes. Though this pattern was visible for all vineyards, the  
456 effect was often non-significant or lower than in studies with hybrid grapevines that were subjected  
457 to higher rates of leaf infestation. The sensory panel also did not find any differences in wine  
458 quality, which was in line with a study with infestation levels of 15 to 20 galls per leaf on the first  
459 five apical leaves of hybrid vines (Stevenson, 1970a). After the growing season, the level of stored  
460 non-structural carbohydrates in perennial wood was similar to other grapevine literature (Herrera *et al.*,  
461 2015; Savi *et al.*, 2019). The analysis revealed no significant differences in stored soluble sugars  
462 for any vineyard and a significant decrease of starch for phylloxera infested vines in the vineyard in  
463 Bahlingen. In a defoliation study with potted *V. vinifera* plants, total sugars and starch were only  
464 lowered when 85 % of the leaves were removed, not when 50 % of the leaves were removed (Silva  
465 *et al.*, 2017). We also did not find any differences based on leaf removal. Similarly, in a dual leaf  
466 and root phylloxera infestation, <sup>14</sup>C-carbohydrates were transferred to galled leaves at the cost of the  
467 roots (Steffan and Rilling, 1981). A study on phylloxera root infestation alone did not reveal  
468 differences in non-structural carbohydrates in the plant stem, though a reduction in leaf starch and

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469 root sugar content was evident (Savi *et al.*, 2019). Indeed, a gradual carbon concentration build-up  
470 from non-infested roots through infested roots to root galls was observed (Eitle *et al.*, 2017a). Root  
471 infestation, therefore, likely plays a smaller role for starch reserves in perennial wood compared to  
472 the effect of phylloxera leaf infestation. Similarly, the perennial wood of plantlet cuttings  
473 accumulated the least <sup>14</sup>C-carbohydrates during phylloxera leaf infestation compared to root  
474 infestation, dual leaf and root infestation and control (Steffan and Rilling, 1981). This shows the  
475 importance of phylloxera leaf galls on the storage of starch in perennial wood.

## 476 Conclusion

477 The overall effect of leaf infestation at the intensity seen in the commercial vineyards in Baden,  
478 Germany, was low. Many of the effects that are seen in studies with augmented phylloxera  
479 infestation on hybrid grapes were not significant in this study, though some significant changes  
480 were visible. We conclude that phylloxera leaf infestation at this intensity may marginally affect  
481 commercially cultivated grafted *V. vinifera* grapevines, although no effects were seen for yield  
482 quantity or wine sensory analyses. Through diminished storage of carbohydrates and altered  
483 biochemical processes, there may be an effect on grapevine longevity and resilience against abiotic  
484 and biotic stresses (e.g., frost tolerance). Although the number of vines that were tested was high,  
485 the results stem from vineyards under different viticulture and environmental settings conducted in  
486 a single growing season. These first preliminary results, therefore, form a basis for further in-depth  
487 research on phylloxera leaf infestation in commercial vineyards planted with *V. vinifera*.

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