

# 1Modelling Cabernet-Sauvignon wine sensory traits from 2spectrofluorometric data

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## 11Abstract

12Understanding how wine compositional traits can be related to sensory profiles is an important and  
13ongoing challenge. Enhancing knowledge in this area could assist producers to select practices that  
14deliver wines of the desired style and sensory specifications. This work reports the use of  
15spectrofluorometry in conjunction with chemometrics for prediction, correlation, and classification  
16based on sensory descriptors obtained using a rate-all-that-apply sensory assessment of Cabernet-  
17Sauvignon wines (n = 26). Sensory results were first subjected to agglomerative hierarchical cluster  
18analysis, which separated the wines into five clusters represented by different sensory profiles. The  
19clusters were modelled in conjunction with excitation-emission matrix (EEM) data from  
20fluorescence measurements using extreme gradient boosting discriminant analysis. This machine  
21learning technique was able to classify the wines into the pre-defined sensory clusters with 100 %  
22accuracy. Parallel factor analysis of the EEMs identified four main fluorophore components that  
23were tentatively assigned as catechins, phenolic aldehydes, anthocyanins, and resveratrol (C1, C2,  
24C3, and C4, respectively). Association of these four components with different sensory descriptors  
25was possible through multiple factor analysis, with C1 relating to ‘dark fruits’ and ‘savoury’, C2  
26with ‘barnyard’, C3 with ‘cooked vegetables’ and ‘vanilla/chocolate’, and C4 with ‘barnyard’ and a  
27lack of C1 descriptors. Partial least squares regression modelling was undertaken with EEM data  
28and sensory results, with a model for perceived astringency being able to predict the panel scores  
29with 68.1 % accuracy. These encouraging outcomes pave the way for further studies that relate

30 sensory traits to fluorescence data and move research closer to the ultimate goal of  
 31 predicting wine sensory expression from a small number of compositional factors.

32  
 33 **KEYWORDS:** Rate-all-that-apply, cluster analysis, excitation-emission matrix, partial least  
 34 squares regression, machine learning, chemometrics

## 35 Introduction

36 Wine is a luxury product with a highly complex composition that can be affected by the  
 37 environment in which the grapes are grown as well as techniques applied in the vineyard and  
 38 winery. The intrinsic complexity of wine has necessitated the development of various techniques to  
 39 obtain an in-depth understanding of grape and wine metabolites and control points during  
 40 production that can shape the final product. Relating compositional and technological factors with  
 41 the sensory expression of a wine, which is a determining factor for the overall consumer experience,  
 42 remains an ongoing focus of research. Being able to link chemical and sensory information with the  
 43 practices and techniques that wine endures during production would ultimately equip practitioners  
 44 with the ability to make more precise decisions for producing targeted wine styles.

45 Multiple methodologies are available for sensory profiling of wine, but their suitability will depend  
 46 upon the requirements of the study. Rate-all-that-apply (RATA) is a quantitative sensory  
 47 methodology that is rapid and effective for wine sensory characterisation (Danner *et al.*, 2018), as  
 48 shown by its successful use in different studies (Franco-Luesma *et al.*, 2016; Mezei *et al.*, 2021;  
 49 Nguyen *et al.*, 2020). Similarly to sensory profiling, a range of analytical approaches **is** available to  
 50 define wine chemical composition that underpins sensory traits. A common approach has therefore  
 51 been to combine sensory data with a number of chemical analysis techniques to predict and classify  
 52 wine sensory characters (Niimi *et al.*, 2018), explore distinctiveness (Geffroy *et al.*, 2016),  
 53 comprehend the impact of storage and packaging conditions (Hopfer *et al.*, 2013), and understand  
 54 quality drivers (Gambetta *et al.*, 2016; Hopfer *et al.*, 2015). Many studies rely on analytical  
 55 methodologies that are time-consuming, expensive, and relatively intricate (e.g., HPLC or GC with  
 56 mass spectrometry), requiring personnel with specialised skills. There is room, however, for more  
 57 accessible approaches (usually spectroscopy-based) that can provide chemical information more  
 58 simply and rapidly. As reviewed by **Ranaweera *et al.* (2021a)**, there are various spectroscopic  
 59 approaches and each differs in terms of compounds measured, sensitivity, and  
 60 advantages/disadvantages, among other aspects. The choice of methodology should therefore be  
 61 defined according to the needs and objectives of the study.

62 As a spectroscopic technique, spectrofluorometry has often been applied to the analysis of food  
 63 products because of its time- and cost-effective nature, and its high selectivity and sensitivity

64 (Ranaweera *et al.*, 2021a). This methodology can provide a unique three-  
 65dimensional excitation and emission matrix (EEM) that acts as a molecular fingerprint of a sample  
 66(Coelho *et al.*, 2015; Ranaweera *et al.*, 2021b). This technique can be a useful tool to authenticate,  
 67distinguish and classify different food products through a qualitative investigation of specific  
 68fluorescent substances (e.g., phenolic compounds, vitamins, and aromatic amino acids) present at  
 69different concentrations depending on the product (Karoui and Blecker, 2011). It is also highly  
 70applicable to wine, which contains a myriad of fluorophores. Spectrofluorometry has been applied  
 71to wine for authentication and discrimination of samples based on variety, origin, or vintage  
 72(Ranaweera *et al.*, 2021b; Ranaweera *et al.*, 2021c; Sádecká and Jakubíková, 2020; Suciú *et al.*,  
 732019), to analyse oxidative changes and sulfur dioxide addition (Coelho *et al.*, 2015), and to  
 74quantitatively assess polyphenol content (Cabrera-Bañegil *et al.*, 2017).  
 75In the quest for a rapid technique that could link wine composition and sensory properties, this  
 76study aimed to explore 1) the association between sensory descriptors obtained by RATA and the  
 77fluorescence EEM data recorded for Cabernet-Sauvignon wines from the Coonawarra Geographical  
 78Indication (GI), and 2) the dominant sensory traits of such regional wines. Specifically, the study  
 79tested the applicability of using EEMs with machine learning modelling for sample classification  
 80based on sensory profiles, investigated the relationship between the main fluorophores identified by  
 81parallel factor analysis (PARAFAC) and sensory descriptors using multiple factor analysis (MFA),  
 82and assessed partial least squares (PLS) regression models to predict sensory attributes.

## 83Materials and methods

### 841. Sample selection

85Unreleased vintage 2020 Cabernet-Sauvignon wines were sought from commercial producers using  
 86fruit from the Coonawarra GI of South Australia. Most of the wines were monovarietal and had  
 87only undergone alcoholic and malolactic fermentation and racking, with minimal oak contact ( $\leq 5$   
 88months) and limited maturation time. In total, 26 Cabernet-Sauvignon wine samples ( $6 \times 750$  mL  
 89bottles of each wine) were obtained from 8 wineries/vineyards within the GI (Supplementary data,  
 90Table S1).

### 912. Sensory evaluation

92Prior to formal evaluation, the wines were tasted by experts as defined by Parr *et al.* (2002)  
 93consisting of academics and postgraduate oenology students ( $n = 6$ ), who evaluated aroma, flavour,  
 94taste, and mouthfeel with a free text assessment followed by a discussion of the wines. This  
 95informal tasting was used to evaluate whether the sample set was appropriate for a naïve panel to  
 96assess (considering that they were not commercially-released wines), to ensure that the samples

14  
17  
97 could be differentiated, and to decide on the sensory attributes that should be  
98 included in the formal RATA evaluations.

99 Naïve wine consumers (n = 60; 27 females and 33 males from 18 to 77 years of age) were recruited  
100 based on being 18 years of age or older and having consumed red wine at least once a month.  
101 Evaluations were conducted in a purpose-built sensory laboratory at the University of Adelaide's  
102 Waite Campus, in individual booths equipped with a computer, under white fluorescent lighting,  
103 and at room temperature (22–23 °C). Samples (20 mL) were served at room temperature in clear  
104 stemmed ISO wine glasses coded with a random four-digit number and covered by a petri dish.  
105 Due to the number of samples and to avoid palate fatigue, assessments were divided into three  
106 sessions: 9 samples in the first, 9 samples in the second, and 8 samples in the last session. The  
107 samples were randomly presented monadically for each subject within a session and the same panel  
108 was used for all three sessions. RATA methodology was used to characterise samples by rating the  
109 intensity only of the attributes that applied from a list of 53 comprising aroma, flavour, taste, and  
110 mouthfeel descriptors (Supplementary data, Table S2) on a 7-point scale (from “extremely low” to  
111 “extremely high”). Between samples, the panellists were forced to have a 1-min break and could  
112 cleanse their palate with deionised water and unsalted crackers. A 5-min break was enforced at the  
113 mid-point of the tasting (between samples 4 and 5). Data were collected with RedJade software  
114 (2016, Redwood City, USA). Informed consent was obtained from panellists and this study was  
115 approved by the Human Research Ethics Committee of the University of Adelaide (approval  
116 number: H-2019-031).

### 1173. Chemicals

118 HPLC grade absolute ethanol and analytical grade 37 % hydrochloric acid (HCl) were purchased  
119 from Chem-Supply (Port Adelaide, SA, Australia). High purity water was obtained from a Milli-Q  
120 purification system (Millipore, North Ryde, NSW, Australia).

### 1214. Spectrofluorometric analysis

122 After sensory analysis, the remainder of each wine was subsampled into a 4 mL centrifuge tube that  
123 was completely filled and stored in a refrigerator at 4 °C until measurements were performed. After  
124 warming to room temperature, samples were centrifuged at  $9300 \times g$  for 10 min and diluted with  
125 50 % aqueous ethanol that had been adjusted with HCl to pH 2 and vacuum filtered (0.45 µm PTFE  
126 membrane). The samples were diluted 150-fold (Ranaweera *et al.*, 2021c), and analysed in a Hellma  
127 type 1FL (1 cm path length) Macro Fluorescence cuvette (Sigma-Aldrich, Castle Hill, NSW,  
128 Australia). Samples were prepared in duplicate and two measurements of each sample were  
129 undertaken with a Horiba Scientific Aqualog<sup>®</sup> spectrophotometer (version 4.2, Quark Photonics,  
130 Adelaide, SA, Australia). The excitation wavelength ranged from 240 to 700 nm with an increment  
131 of 5 nm under medium gain and 0.2 s integration time and the emission wavelength ranged from

132 242 to 824 nm with an increment of 4.66 nm. Data acquisition was controlled with  
 133 Origin software (version 8.6, OriginLab® Corporation, Massachusetts, USA) and EEMs were  
 134 normalised using water Raman scattering units and corrected for the inner filter effects, solvent  
 135 background, dark detector signals, and Rayleigh masking (Gilmore *et al.*, 2017).

#### 1365. Basic analytical measurements of pH, TA, ethanol, and SO<sub>2</sub>

137 Sample pH and titratable acidity (TA) were obtained with a T50 auto-titrator (Mettler Toledo,  
 138 Melbourne, VIC, Australia). Ethanol was measured in triplicate by HPLC analysis (Li *et al.*, 2017)  
 139 of undiluted samples that were centrifuged at  $9300 \times g$  for 10 min. Separation was performed with  
 140 an Aminex HPX-87H column (300 mm  $\times$  7.8 mm, BioRad, Hercules, California, USA)  
 141 thermostatted at 60 °C using 2.5 mM H<sub>2</sub>SO<sub>4</sub> as mobile phase with a flow rate of 0.5 mLmin<sup>-1</sup>. Peaks  
 142 were detected with a refractive index detector (RID-10A, Shimadzu, Kyoto, Japan) and quantified  
 143 by comparison with standards prepared in model wine using ChemStation for LC 3D Systems  
 144 software (Agilent Technologies, Santa Clara, CA, USA). Free and total SO<sub>2</sub> concentrations were  
 145 determined in duplicate using the method described by Iland *et al.* (2004).

#### 1466. Statistical analysis

147 The raw sensory data were firstly analysed through two-way analysis of variance (ANOVA) with  
 148 panellists as a random factor and samples as a fixed factor to identify significantly different  
 149 attributes between the samples. Attributes that presented a p-value  $\leq 0.1$  were selected for  
 150 agglomerative hierarchical cluster (AHC) analysis of all samples with an automatic entropy  
 151 truncation and Euclidean distance using Ward's method or unweighted pair-group average  
 152 (UPGMA). With a superior cophenetic correlation (0.676 for UPGMA *versus* 0.511 for Ward's  
 153 method), UPGMA was chosen and truncation configured with a minimum of five classes.  
 154 Correlation principal component analysis (PCA) was performed to identify sensory profiles that  
 155 arose for different clusters based on the AHC analysis.

156 EEM data were unfolded using unfold multiway (mode 1) in Solo software (version 8.7.1,  
 157 Eigenvector Research, Inc., Manson, WA, USA). For classification according to the clusters defined  
 158 by AHC analysis, extreme gradient boosting discriminant analysis (XGBDA) was conducted  
 159 (Ranaweera *et al.*, 2021c) using pre-processing with mean centring, PLS compression to yield a  
 160 maximum of 25 latent variables (LVs), and decluttering with generalised least squares weighting at  
 161 0.2 for calibration and cross-validation ( $k = 10$ , Venetian blinds procedure). Confusion matrix score  
 162 probabilities were used to assess the model effectiveness. PARAFAC was performed with a non-  
 163 negativity constraint in all modes imposed and the model was validated by split-half analysis  
 164 (Murphy *et al.*, 2013).

165 Loadings for the components determined by PARAFAC were analysed in conjunction with the  
 166 sensory data (significantly different attributes,  $\alpha = 0.1$ ) through MFA. Separately, a calibration

167 model was created with PLS1 regression of sensory scores for perceived wine  
 168 astringency and the EEM data to predict astringency ratings. The model was optimised through  
 169 assessment of LVs, root mean square error of calibration (RMSEC), root mean square error of  
 170 cross-validation (RMSECV, Venetian blinds with 10 splits), and root mean square error of  
 171 prediction (RMSEP).

172 ANOVA, PCA, AHC, and MFA were performed with XLSTAT (version 2019.4.1, Addinsoft, New  
 173 York, USA). XGBDA, PARAFAC, and PLS regression analysis were conducted with Solo software  
 174 (version 8.7.1).

## 175 Results and discussion

176 Unreleased Cabernet-Sauvignon wines sought for the study went through minimal post-  
 177 fermentation processes (e.g., fining, maturation, blending) and were bottled at early stages of  
 178 production so that the impact of the Coonawarra GI could be assessed with minimal influence of  
 179 downstream winemaking operations. Basic analytical measurements were within the normal range  
 180 for red wines at such a stage of production. The total and free SO<sub>2</sub> content ranged from 0.4 to 70.8  
 181 mgL<sup>-1</sup> and 0.4 to 33.4 mgL<sup>-1</sup>, respectively, TA ranged from 5.6 to 7.5 gL<sup>-1</sup>, pH values ranged from  
 182 3.40 to 3.87, and ethanol concentration ranged from 12.9 % to 15.3 % (Supplementary data, Table  
 183 S1).

### 184 1. RATA sensory profiling and clustering of wines

185 Of the 53 sensory attributes rated by panellists using RATA methodology, 20 were significantly  
 186 different ( $\alpha = 0.1$ ) according to ANOVA and comprised 8 aromas, 8 flavours, 3 tastes, and 1  
 187 mouthfeel attribute (Supplementary data, Table S3). The means of the 20 descriptors were analysed  
 188 through a correlation PCA (Figure 1) following the AHC analysis (Supplementary data, Figure S1).  
 189 The first factor (F1) in Figure 1A accounted for 30.6 % of the data variance and the second factor  
 190 (F2) explained a further 19.6 %. Cluster 1 (shown in red, 7 wines) appeared on the right side of F1  
 191 and spread across both segments of F2, with 5 samples in the upper half and 2 in the lower half.  
 192 Cluster 2 (green, 14 samples) mostly presented near the origin, with 11 samples on the left and 3  
 193 samples on the right of F1, and a more or less even spread across F2. Cluster 3 (cyan, 2 samples)  
 194 was found on the left side of F1 and upper half of F2, and Cluster 4 (pink, 1 sample) was separated  
 195 from the rest in the bottom right portion of the plot. Squared cosine values for samples in Cluster 5  
 196 (data not shown) indicated a higher representation on F3, in the lower half as seen in Figure 1B.

197 In terms of the sensory descriptors, ‘barnyard’ flavour and aroma, and bitterness and astringency  
 198 were plotted on the right side of F1 and lower part of F2; ‘minty’, ‘cooked vegetables’, ‘dark fruits’,  
 199 ‘tobacco’, and ‘earthy’ aromas and flavours, ‘oaky’ and ‘savory’ aromas, and acidity were plotted  
 200 on the right side of F1 and upper half of F2; and ‘vanilla/chocolate’ and ‘cherry cola’ flavours, and

201 sweetness were plotted on the left side of F1 and upper half of F2 (Figure 1A). The  
202 aroma and flavour of ‘cooked vegetables’ were better represented in the upper half of F3 (Figure  
203 1B).

204 The clusters defined by AHC analysis (Supplementary data, Figure S1) could be explained through  
205 different sensory profiles as shown in Figure 1. Cluster 1 was characterised by savoury characters  
206 including ‘earthy’ and ‘tobacco’, along with ‘oaky’ and ‘dark fruits’ aromas, and higher acidity,  
207 whereas Cluster 2 on the opposite side was generally characterised by a lack of those characters.  
208 Considering that these were young wines, the results might indicate the presence of some oak  
209 contact during fermentation for most samples in Cluster 1 as opposed to no oak contact for samples  
210 in Cluster 2 (Crump *et al.*, 2015). Cluster 3 was associated with higher sweetness and ‘cherry cola’  
211 flavour and low bitterness and astringency. Cluster 4 was characterised by ‘barnyard’ aroma and  
212 flavour, relatively low ‘vanilla/chocolate’ and ‘cherry cola’ flavours, a higher bitter taste and  
213 astringent mouthfeel, and a lack of sweetness. Cluster 5 was especially related to ‘cherry cola’ and  
214 ‘vanilla/chocolate’ flavours (Figure 1B), as opposed to the savoury profile found for Cluster 1  
215 (Figure 1A). Sensory profiles have similarly been used in the past for regional classification of  
216 Australian Cabernet-Sauvignon wines (Souza Gonzaga *et al.*, 2019; Souza Gonzaga *et al.*, 2020)  
217 and Australian Shiraz and Chardonnay wines (Kustos *et al.*, 2020). Those studies with commercial  
218 wines reported that some distinctive sensory traits can be more important and more associated with  
219 a specific wine-producing region, with the current work on unreleased wines also indicating the  
220 existence of perceived differences within a GI according to Figure 1.

221 The main differences reported previously for Cabernet-Sauvignon wines were the duality between  
222 ‘green’ and ‘fruity’ related characters and between ‘oak’ related traits and ‘eucalyptus’ or ‘minty’  
223 attributes (Heymann and Noble, 1987; Souza Gonzaga *et al.*, 2020). In the present study, the  
224 contrast was between ‘barnyard’, astringency and bitterness attributes, and ‘cherry cola’,  
225 ‘vanilla/chocolate’, and sweetness. Oak-related and savoury attributes and the ‘minty’ trait were  
226 found in the same quadrant, not in direct contrast, and the same was evident for fruity and vegetal  
227 characters (Figure 1A). Considering the samples were dominated by or exclusively produced from  
228 Cabernet-Sauvignon (Supplementary data, Table S1) and were all from the same GI, albeit from  
229 different vineyards and wineries, the disparity in the sensory profiles of the present work might be  
230 associated with differences in the winemaking processes, as seen previously by Kustos *et al.* (2020)  
231 with Australian Chardonnay and Shiraz wines. Additionally, the wines in the present study had a  
232 minimal influence of oak (i.e., less than 5 months) or other maturation treatments compared to  
233 commercially released red wines, which might have allowed sensory traits that could be attributed  
234 to aspects of terroir (e.g., soil, topography, and vineyard management practices) to be more  
235 perceivable, such as the ‘minty’ and fruity attributes.

237 **Figure 1. Principal component analysis biplots of Cabernet-Sauvignon wines (n = 26) using significantly different**  
 238 **( $\alpha = 0.1$ ) RATA attributes, showing (A) F1 versus F2 and (B) F1 versus F3.**

239 Colour coding represents the clusters resulting from the agglomerative hierarchical cluster analysis (Supplementary data, Figure S1),  
 240 with samples in the same cluster bearing the same colour. Cluster 1, red; Cluster 2, green; Cluster 3, cyan; Cluster 4, pink; Cluster 5,  
 241 blue. A-, aroma; F-, flavour; MF-, mouthfeel; T-, taste.

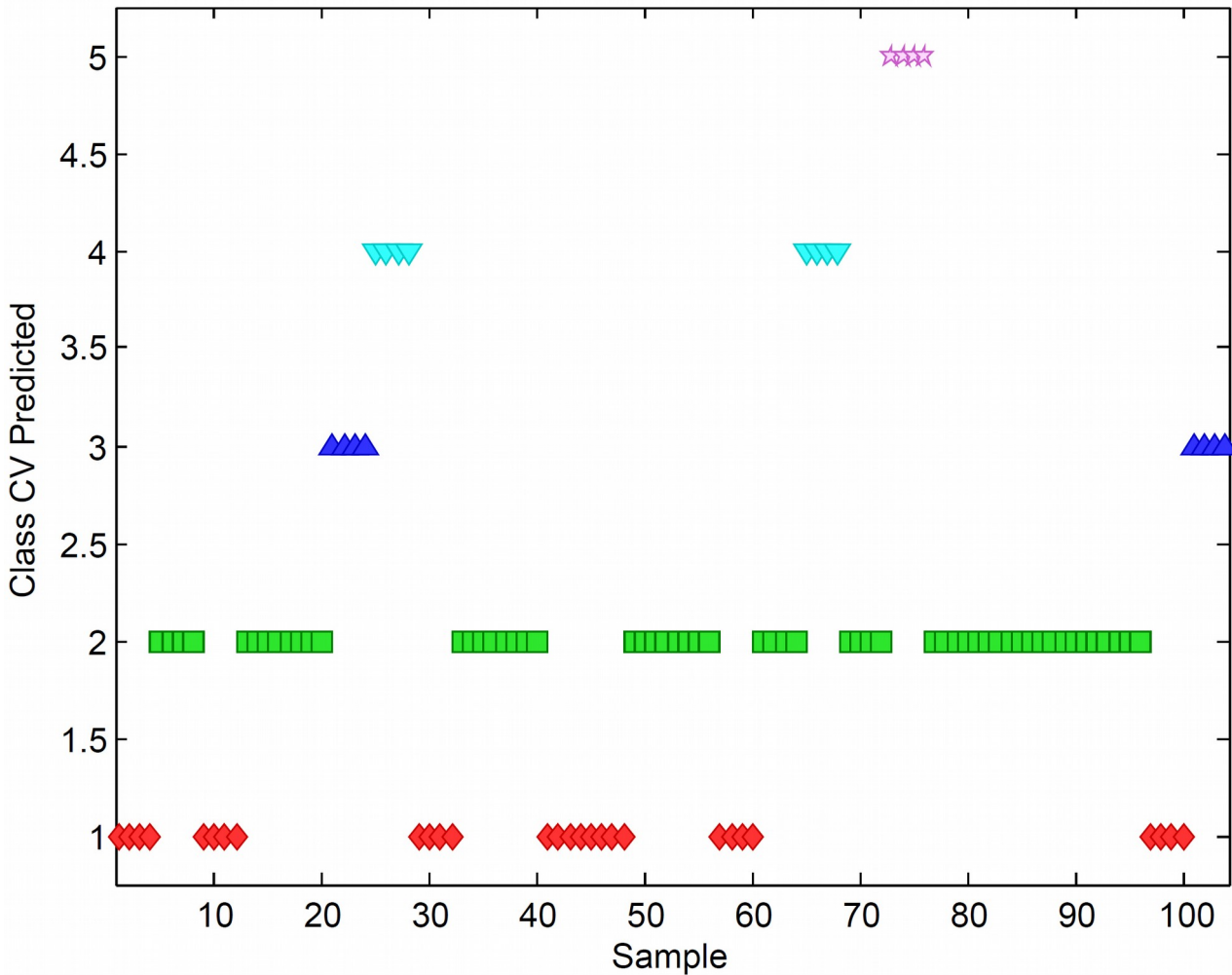
242 Some samples in Cluster 2 indicated that ‘minty’ flavour was an important characteristic, although  
 243 in general not much difference was seen between the samples (Figure 1A). A ‘minty’ character has  
 244 been reported previously for Coonawarra Cabernet-Sauvignon wines, which might indicate this as a  
 245 dominant trait for the Coonawarra region (Robinson *et al.*, 2011; Souza Gonzaga *et al.*, 2019; Souza  
 246 Gonzaga *et al.*, 2020). Characters described as ‘minty’ and ‘eucalyptus’ in Cabernet-Sauvignon  
 247 wines have been associated with the presence of eucalyptol (i.e., 1,8-cineole) and  
 248 hydroxycitronellol, and although ‘eucalyptus’ aroma and flavour were not statistically significant  
 249 ( $\alpha = 0.1$ ) in the present work (Supplementary data, Table S3), studies have shown that they might be  
 250 interchangeable and indistinguishable by a sensory panel (Capone *et al.*, 2012; Robinson *et al.*,  
 251 2011; Souza Gonzaga *et al.*, 2020). The current study did not explore the presence of volatile  
 252 compounds so the link between ‘minty’ and ‘eucalyptus’ from both sensory and chemical  
 253 viewpoints is open for further examination. Among the possibilities, the occurrence of 1,8-cineole  
 254 in wine has been related to the presence of *Eucalyptus* trees within the vineyard environment  
 255 (Capone *et al.*, 2012), whereas some studies report the presence of ‘minty’ traits associated with an  
 256 aged profile of Bordeaux red wines specifically under the influence of the proportion of Cabernet-  
 257 Sauvignon in the blend (Picard *et al.*, 2015; Picard *et al.*, 2016b). Mint aroma in that case has been  
 258 associated with the presence of piperitone (Picard *et al.*, 2016a). Considering that the present study  
 259 examined young Cabernet-Sauvignon wines, it seemed unlikely that piperitone or other limonene-  
 260 derived compounds (Picard *et al.*, 2017) were responsible for the presence of the ‘minty’ attribute,  
 261 although further investigation is required to clarify the role of various monoterpenoids in the  
 262 perception of mint-related characters.

### 2632. Classification of sensory clusters based on spectrofluorometric analysis

264 To examine whether sensory information could be classified using spectrofluorometric data, the  
 265 results from AHC (Supplementary data, Figure S1) were modelled in conjunction with the EEMs of  
 266 the wine samples through machine learning with the XGBDA algorithm. Various algorithms and  
 267 machine learning tools exist for wine classification based on EEM data, such as soft independent  
 268 modelling of class analogy and support vector machine, but XGBDA performs well when analysing  
 269 a complex heterogeneous matrix with uneven class distribution (Babajide Mustapha and Saeed,  
 270 2016). The analysis was undertaken after PLS compression, used to improve the stability of the  
 271 model by making it less disposed to overfitting. The class CV prediction demonstrated in Figure 2



272 shows each cluster (denoted using different symbols and colours) that was  
 273 predefined by AHC. The model attempted to predict the class (cluster) to which each sample  
 274 belonged, based on the relationship of the sensory profiles and EEM data. Figure 2 and the  
 275 confusion matrix obtained from cross-validation (data not shown) highlighted that all clusters were  
 276 100 % correctly classified with a discrete segregation between the classes in the cross-validated  
 277 model. This result indicated that the underlying composition of the wines encompassed in the  
 278 fluorescence fingerprints might be driving the sensory differences of the clusters determined from  
 279 RATA evaluation.



**LEGEND:**  
 Cluster 1 (♦), Cluster 2 (■), Cluster 3 (▼), Cluster 4 (☆), Cluster 5 (▲).

280  
 281 **Figure 2. Class CV predicted for classification of RATA clusters arising from AHC based on XGBDA modelling**  
 282 **for the set of Cabernet-Sauvignon wines (n = 26).**

283 Classification methods using fluorescence spectroscopy have been previously applied for wine  
 284 varietal, vintage and origin authentication (Ranaweera *et al.*, 2021b; Ranaweera *et al.*, 2021c;  
 285 Sádecká and Jakubíková, 2020; Suciú *et al.*, 2019), which tends to yield similar or even better

286 performance compared to other spectroscopic methods like UV-vis, near-infrared,  
287 mid-infrared, synchronous fluorescence, or Raman (Mandrile *et al.*, 2016; Riovanto *et al.*, 2011;  
288 Tan *et al.*, 2016). Ultimately, studies involving spectrofluorometry and chemometrics have  
289 demonstrated the approach as a valid tool for authenticating wine, and along with the present work,  
290 highlight the extent to which this type of data can be used to understand important traits related to  
291 wine chemical and sensory properties.

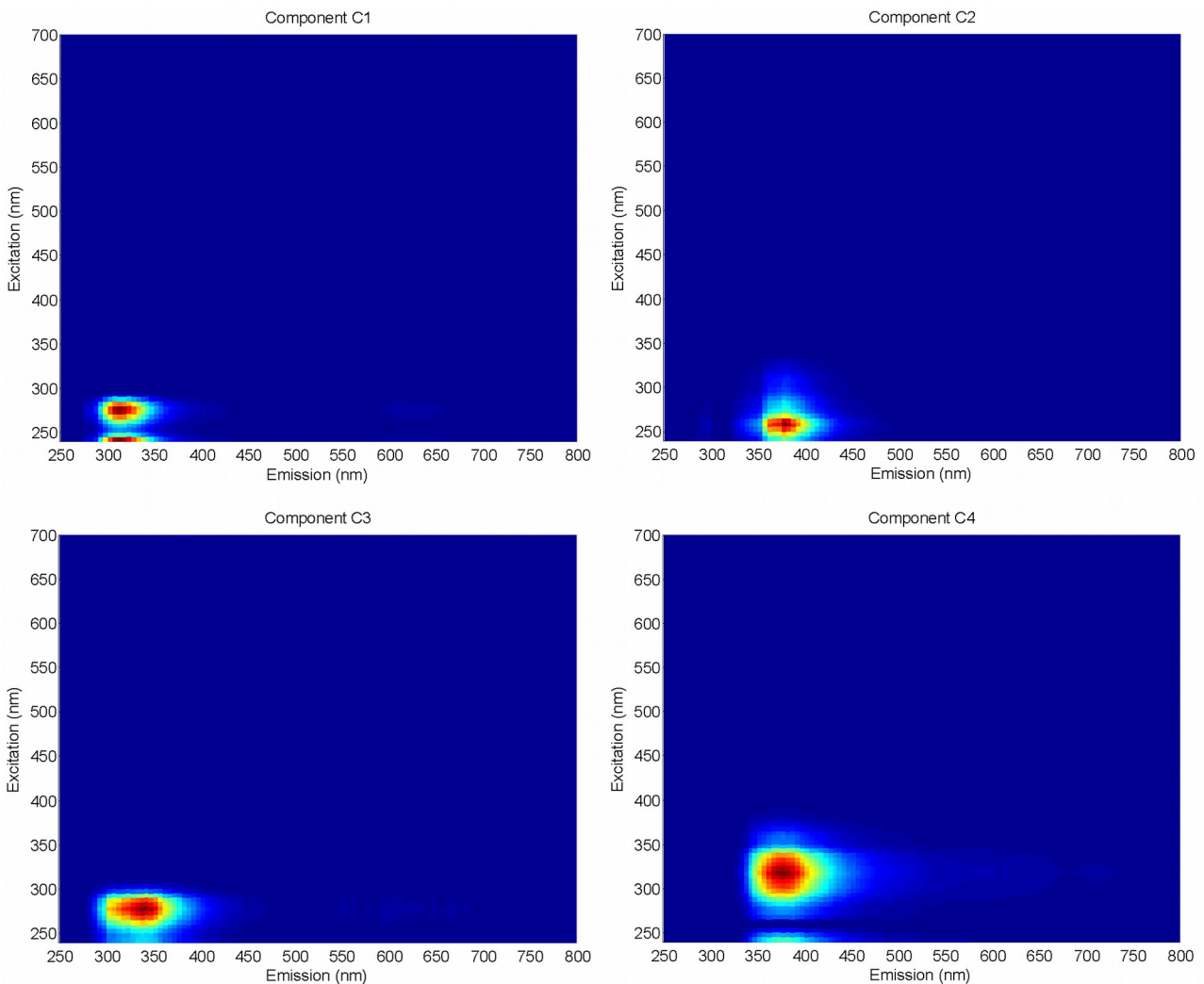
### 2923. Using PARAFAC to identify main fluorophoric compounds

293 Attempting to shed light on the relationship between fluorescence data and sensory properties,  
294 PARAFAC was performed on the EEM data to identify the main fluorophores present in the  
295 samples. The percentage of core consistency of the data can be applied in combination with split-  
296 half analysis to assess the model suitability, especially with high complexity matrices such as wine  
297 (Airado-Rodríguez *et al.*, 2011; Murphy *et al.*, 2013). The split-half analysis compares the similarity  
298 between each half of the data set, and like with core consistency, a higher percentage is desirable  
299 when deciding on the number of components for the model (Murphy *et al.*, 2013). Using all  
300 samples in the first PARAFAC model generated a core consistency of less than 0 % and a split-half  
301 result of less than 19 %. Investigating further, analysis of residuals of the samples showed that three  
302 (CS2, CS7 and CS26) of the 26 wines were outliers and presented equally high residuals for the  
303 four determinations (i.e., duplicate readings of duplicate samples) compared to the other samples.  
304 Based on the available data, no possible reason was identified that could explain the three samples  
305 as outliers. Although sample CS7 was the only sample produced with 100 % uninoculated alcoholic  
306 and malolactic fermentation, which might indicate a possible factor, that was not the case for the  
307 other two outlier samples. Nonetheless, PARAFAC modelling was performed again without the  
308 outlier samples, this time yielding a core consistency of 61 % and split half analysis of 93.7 % for  
309 the four main fluorescent components (Figure 3).

310 From PARAFAC it was possible to identify the maximum intensities ( $\lambda_{ex}$  and  $\lambda_{em}$ ) for the four  
311 components as demonstrated in Figure 3, and therefore to tentatively assign chemical compound  
312 classes that are naturally present in wine (Airado-Rodríguez *et al.*, 2011; Airado-Rodríguez *et al.*,  
313 2009). Such spectral data can typically be related to fluorophoric compounds such as vitamins  
314 (Christensen *et al.*, 2006) and especially phenolic compounds (Schueuermann *et al.*, 2018). For  
315 PARAFAC component 1, maximum intensities of  $\lambda_{ex} = 275$  nm and  $\lambda_{em} = 310$  nm were tentatively  
316 identified as compounds associated with catechin (including tannin). Component 2 peak intensities  
317 were  $\lambda_{ex} = 255$  nm and  $\lambda_{em} = 375$  nm and can be proposed to result from phenolic aldehyde related  
318 compounds. Component 3 peak intensities were  $\lambda_{ex} = 270$  nm and  $\lambda_{em} = 335$  nm and were  
319 considered to be associated with anthocyanins. Finally, component 4 peak intensities were  $\lambda_{ex} = 315$   
320 nm and  $\lambda_{em} = 375$  nm and tentatively assigned to stilbenoids such as *trans*-resveratrol.

3910

321 **Ranaweera *et al.* (2021c)** and Airado-Rodríguez *et al.* (2009) proposed similar  
 322 assignments for PARAFAC model components in red wine, which are reasonable considering the  
 323 main compounds (i.e., catechins, anthocyanins, and other phenolics) expected to be abundant in red  
 324 wine. It is noteworthy that compound classes assigned from the PARAFAC modelling (i.e.,  
 325 phenolics) were not necessarily driving the sensory characters themselves, but could act as indirect  
 326 markers that indicated compositional aspects of the wines that were not essentially measured by  
 327 fluorescence. For example, different gene copies responsible for the biosynthesis of important wine  
 328 compounds such as anthocyanins in grape berry can belong to multicopy families, having an  
 329 expression profile coinciding with other specific flavonoids that may impact wine sensory profile  
 330 by correlation rather than causation (Kuhn *et al.*, 2013). In contrast, there could be a direct  
 331 relationship with compounds associated with aspects such as the taste and mouthfeel of the wine, as  
 332 explained in more detail in the next section.

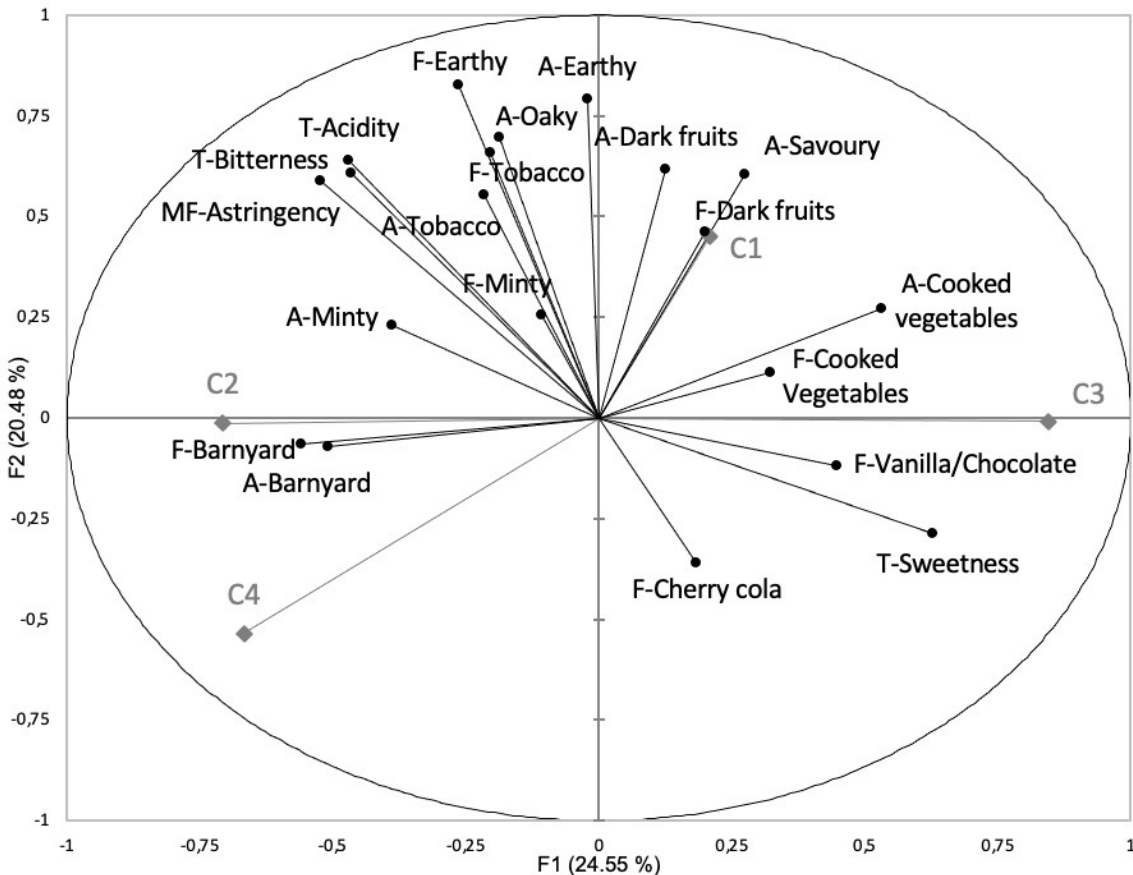


333

334 **Figure 3. Contour plots for excitation and emission wavelengths identified from the PARAFAC**  
 335 **model, indicating the four main fluorescent components (i.e., C1, C2, C3, C4) present in the sample set.**

3364. **Relation between PARAFAC components and RATA results according to MFA**

337 Considering the compound classes tentatively identified by PARAFAC modelling of EEM data can  
 338 impact wine sensory profile (either directly or by implying an indirect correlation), the relative  
 339 loadings of the four classes were analysed in conjunction with RATA results through MFA. Means  
 340 of the significantly different ( $\alpha = 0.1$ ) descriptors and means of the four compound class loadings  
 341 from 23 wines (excluding CS2, CS7 and CS26) were used for the analysis (Figure 4). MFA yielded  
 342 an RV coefficient of 0.232 between both sets of data, an RV coefficient of 0.751 between  
 343 PARAFAC data and the MFA model, and an RV coefficient of 0.816 between the RATA data and  
 344 the MFA model. The MFA biplot explained 45 % of the variance in the data, with 24.6 %  
 345 represented by F1 and 20.5 % by F2. PARAFAC C1 was plotted on the right side of F1 and the  
 346 upper portion of F2, C2 and C3 were explained entirely along F1, with C3 on the right side and C2  
 347 on the left side, and C4 was plotted on the left side of F1 and lower part of F2, more or less opposite  
 348 to C1 (Figure 4).



349

350 **Figure 4. Multiple factor analysis biplot of the four components from PARAFAC (in grey, □)**  
 351 **using significantly different ( $\alpha = 0.1$ ) descriptors from RATA evaluation (in black, ●) for 23 Cabernet-Sauvignon**  
 352 **wine samples (excluding CS2, CS7 and CS26).**

353 Catechin monomers associated with C1 are usually extracted from grape skin and seed and can  
 354 increase the bitter taste of wine (Fischer and Noble, 1994) whereas polymers of catechin (e.g.,  
 355 tannins), extracted from the same sources, are related with astringency (Waterhouse *et al.*, 2016a).  
 356 Figure 4 shows C1 was associated with ‘dark fruits’ and ‘cooked vegetables’ aromas and flavours  
 357 and ‘savoury’ aroma, which is likely to be an indirect relationship as mentioned in the previous  
 358 section. Analysing the RV coefficients, the correlation between bitterness and C1 was not  
 359 significant ( $p = 0.313$ ), thus indicating that there might not be an association. In contrast, the  
 360 correlation between astringency and C1 was significant ( $p = 0.006$ ) and had an RV coefficient of  
 361 0.315, demonstrating a moderate association. This implied that polymers had a greater influence on  
 362 the expression of C1 than monomers, which would be reasonable given their relative concentrations  
 363 in red wine.

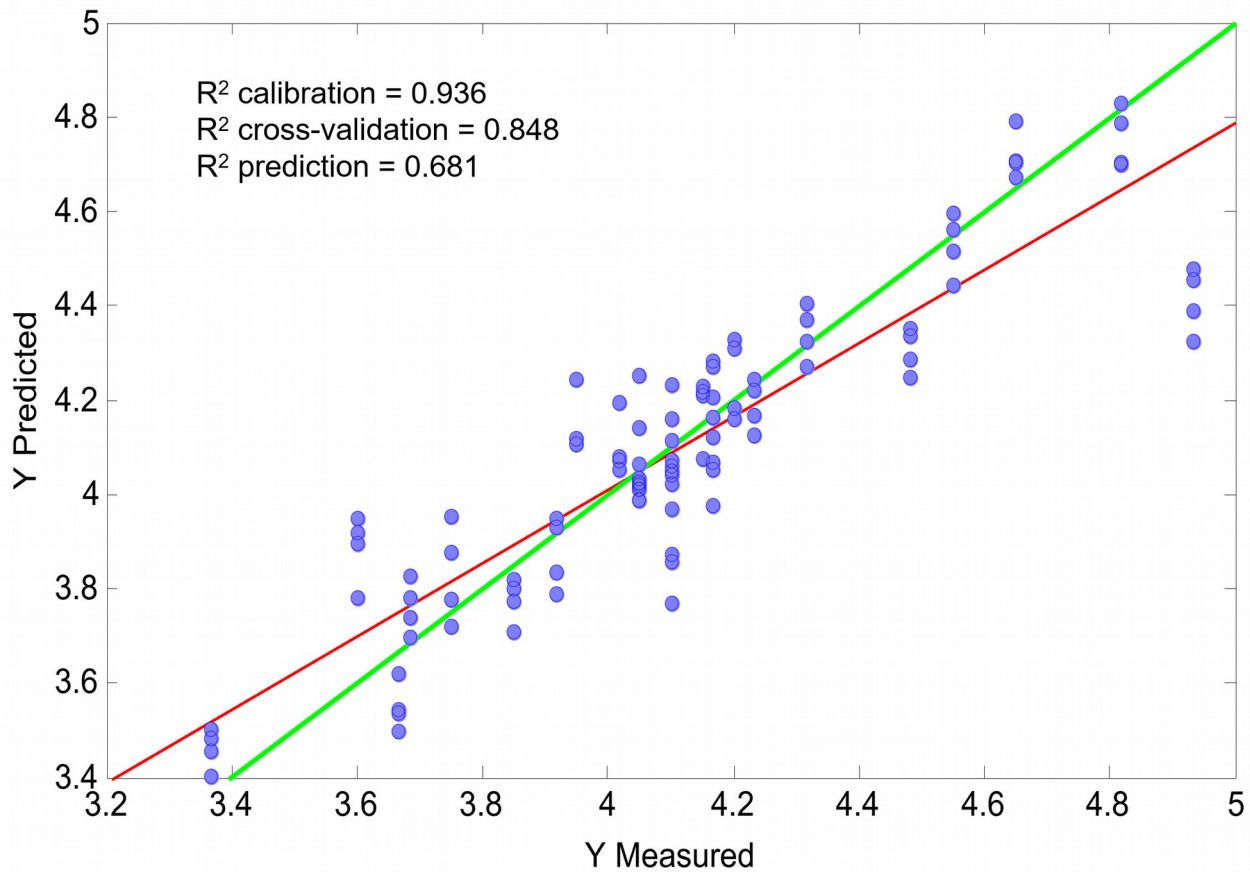
364 Phenolic aldehydes assigned to C2 can be influenced by the origin of wood (usually oak)  
 365 incorporated either during fermentation or maturation and can vary in concentration depending on  
 366 ageing time — such compounds can be responsible for some oak-related aroma traits (e.g., vanillin)  
 367 in wine (del Alamo Sanza *et al.*, 2004). Other oak compounds (e.g., volatile phenols, hydrolysable  
 368 tannins) that may influence sensory traits would undoubtedly be extracted as well. C2 was related to  
 369 ‘barnyard’ aroma and flavour and ‘minty’ aroma. Anthocyanins assigned to C3 are pigments present  
 370 in red grape skins that are important to the colour of red wine (He *et al.*, 2012). Anthocyanins might  
 371 also be responsible for an increase in the ‘fullness’ of a wine (Vidal *et al.*, 2004), as well as  
 372 perceived astringency and bitterness (Ferrero-del-Teso *et al.*, 2020; Paissoni *et al.*, 2018).  
 373 Additionally, as explained in the section dealing with PARAFAC, genes involved in the  
 374 biosynthesis of anthocyanins in grapes are expressed through pathways that coincide with the  
 375 biosynthesis of other flavonoids and volatile compounds (Czemmel *et al.*, 2012; Kuhn *et al.*, 2013).  
 376 This could explain why anthocyanins could act as markers for compounds that impart aroma or  
 377 flavour (Ristic *et al.*, 2010) but lack a fluorophore themselves. From the MFA, C3 was linked to  
 378 ‘cooked vegetables’ aroma and flavour, ‘vanilla/chocolate’ flavour, and sweetness. Lastly,  
 379 stilbenoids assigned to C4 are compounds that can be found in grape berry skins and are extracted  
 380 into wine during fermentation (Waterhouse *et al.*, 2016b). Stilbenoids, especially *trans*-resveratrol,  
 381 are responsible for the antioxidant characteristics of red wine and its association with the prevention  
 382 of age-related diseases in consumers (Pawlus *et al.*, 2012). According to Gaudette and Pickering  
 383 (2011), *trans*-resveratrol seems to have minimal impact on the sensory qualities of wine (when  
 384 spiked at less than 200 mgL<sup>-1</sup>). Figure 4 shows that C4 was associated with ‘barnyard’ aroma and

385 flavour, which is likely to be another example of an indirect relationship between  
386 the fluorophoric component and the sensory data.

387 It is worth noting that the associations between sensory traits and tentative compound types found  
388 through PARAFAC do not allow for strict conclusions. It is possible, considering the complexity of  
389 what is being modelled, that some relationships may arise due to chance, and more in-depth  
390 research is necessary to better understand and explain the proposed relationships.

#### 3915. Regression model for astringency prediction

392 Considering that most of the compounds detected by spectrofluorometric analysis can directly affect  
393 basic mouthfeel and taste attributes in wine, PLS regression was performed with the two mouthfeel  
394 and three taste attributes described by the sensory evaluation of the 26 wines. Astringency was the  
395 only attribute that could be well modelled from the EEM data without overfitting, based on the  
396 model parameters. An optimal model was generated with eight LVs, giving RMSEC = 0.085,  
397 RMSECV = 0.132, RMSEP = 0.222,  $R^2$  calibration = 0.936,  $R^2$  cross-validation = 0.848, and  $R^2$   
398 prediction = 0.681. The model was thus able to explain 84.8 % of the variance in the samples and  
399 able to predict the results with 68.1 % accuracy (Figure 5). Furthermore, the low value for  
400 RMSECV indicated that the error associated with the prediction of astringency was around 2 % in  
401 relation to the sensory scale used (7-point), demonstrating that the model appeared to be suitable.  
402 This outcome showed that spectrofluorometric data had reasonable capabilities for predicting a  
403 perceived mouthfeel attribute rating for this data set, which was encouraging given the simplicity of  
404 the approach and the complexity of what was being modelled.



405  
 406 **Figure 5. Correlation between the predicted and measured ratings for perceived astringency according to partial**  
 407 **least squares regression modelling for Cabernet-Sauvignon wines (n = 26).**

408 The green line shows the 1:1 correlation and the red line is the model fit.

409 The chemical composition of Cabernet-Sauvignon wines has also previously been used for sensory  
 410 profile prediction, with regression models described by Niimi *et al.* (2018) explaining between  
 411 44.2 % and 69.1 % of the variance in the sample set, and 56.5 % for astringent mouthfeel. In that  
 412 work, the model for predicting perceived astringency score involved anthocyanin concentration and  
 413 colour measures, both of which can be determined using the A-TEEM approach and used in  
 414 combination with a multi-block analysis ([Ranaweera \*et al.\*, 2021c](#)) to add information beyond that  
 415 encompassed in the EEM data alone. Notably, the present study is the first known attempt to  
 416 correlate and predict wine sensory profiles from EEM readings, and although the outcomes are

417 positive, further work with additional samples will be necessary to improve and  
418 extend the modelling. Furthermore, different spectroscopic methods have been validated before for  
419 determining phenolic compound concentrations in a way that is less time consuming and more cost-  
420 effective than other options, and such approaches could become a valuable tool for assisting  
421 winemakers in monitoring and controlling phenolic composition (Cozzolino *et al.*, 2008; Cozzolino  
422 *et al.*, 2004; Damberg *et al.*, 2012; Janik *et al.*, 2007; **Ranaweera *et al.*, 2021c**). Fluorescence  
423 spectroscopy in particular can quantify compounds that are present in the sample at a lower  
424 concentration than other spectroscopic methods (Gilmore and Chen, 2020), thus providing an  
425 attractive option for additional development in future.

## 426 Conclusions

427 This study aimed to explore the association between sensory traits and spectrofluorometric data of  
428 unreleased, commercially produced 2020 Coonawarra Cabernet-Sauvignon wines. It combined  
429 cluster analysis of sensory profiles obtained using RATA with fluorescence data by using a machine  
430 learning algorithm, and examined the prediction of sensory ratings from fluorophoric compounds  
431 via regression modelling. Thus, five distinctive clusters arose that could be well explained by the  
432 sensory results of the RATA evaluation. Cluster 1 wines were characterised by savoury-related  
433 characters, Cluster 2 by 'minty' traits and a lack of the savoury-related attributes, Cluster 3 by  
434 'cherry cola' flavour and low bitterness and astringency, Cluster 4 by higher sweetness and  
435 'barnyard' aroma and flavour, and Cluster 5 by 'vanilla/chocolate' flavour. Additionally, the EEM  
436 data analysed through XGBDA **were** able to predict with 100 % accuracy the clusters that arose  
437 from the sensory profiling, demonstrating that there might be a good association between the EEMs  
438 and sensory ratings (whether direct or indirect). After excluding three outlier samples, PARAFAC  
439 analysis showed that four main fluorophores could be segregated to explain the data set, with  
440 compound classes tentatively associated with the intensity readings being catechins (C1), phenolic  
441 aldehydes (C2), anthocyanins (C3) and stilbenoids (C4). MFA was used to identify associations  
442 between the PARAFAC components and the sensory ratings, revealing that C1 was associated with  
443 'dark fruits' and 'savoury' characters, C2 was associated with 'barnyard', C3 was related to 'cooked  
444 vegetables' and 'vanilla/chocolate', and C4 was related with 'barnyard' but more characterised by  
445 the lack of attributes associated with C1. However, the nature of any relationship between the  
446 proposed compound classes and perceived sensory attributes requires further study. PLS regression  
447 resulted in a suitable model that was able to predict perceived astringency score with 68.1 %  
448 accuracy, although no suitable model was found for the other sensory attributes. Overall, the  
449 correlation of sensory profiles with spectrofluorometric data was quite an optimistic feat, yet the  
450 results from this study were promising. This work may inspire further research that is designed to



451 better understand the chemical drivers of sensory traits and the most influential  
452 factors throughout wine production using a rapid technique like spectrofluorometry, perhaps with  
453 the inclusion of a small selection of compositional variables.

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